

The modular machine

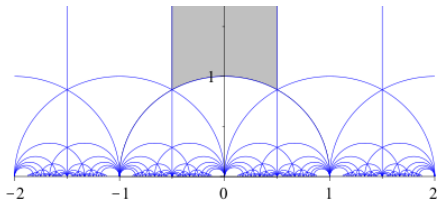
— or —

Even number theory is secretly physics

David Ridout

August 25, 2023

Highlights of Mathematical Physics



Outline

1. A number theory question
2. And now for some Fourier analysis
3. At last some physics!
4. Why is it so?
5. Where can we go from here?

A number theory question...

Question: Is $133\,588$ the sum of 4 squares?

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Good Question: How many ways can we write m the sum of n squares?

[For our purposes, examples such as $3^2 + 0^2$ and $0^2 + 3^2$ should be counted separately.]

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m	0	1	2	3	4	5	6	7	8	9	...
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$n = 3$	1	6	12	8	6	24	24	0	12	30	...
$n = 4$	1	8	24	32	24	48	96	64	24	104	...
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮

When counting things, it is wise to consider **generating functions**:

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$$\begin{aligned}
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which is the $n = 2$ generating function.

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 \end{aligned}$$

which is the $n = 2$ generating function.

In general, the number of ways to write m as a sum of n squares is the **coefficient of q^m in $\tilde{\vartheta}_3(q)^n$** .

Fun with infinite products

When you're handed a polynomial, why not try factorising?

When you're handed a generating function... we can but try...

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 &= (1 + q)^2(1 - q^2)(1 + q^3)^2(1 - q^4)(1 + 2q^5 - q^6 + 2q^7 - q^8 + \dots) \\
 &\stackrel{??}{=} \prod_{n=1}^{\infty} (1 + q^{2n-1})^2(1 - q^{2n}).
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 &= \prod_{n=1}^{\infty} (1 + q^{2n-1})^2(1 - q^{2n}).
 \end{aligned}$$

This theta function factorises as an **infinite product!** It also converges when $|q| < 1$. [How can you tell if an infinite product converges?]

A slight recalibration

For what follows, we'll need to redefine this otherwise extremely beautiful theta function.

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$$\vartheta_3(e^{2\pi i} q) = \sum_{n \in \mathbb{Z}} (-1)^n q^{n^2/2}$$

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But, instead we get a new theta function!

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$$\vartheta_3(e^{2\pi i} q) = \sum_{n \in \mathbb{Z}} (-1)^n q^{n^2/2} = \prod_{n=1}^{\infty} (1 - q^{n-1/2})^2 (1 - q^n) := \vartheta_4(q).$$

But, instead we get a new theta function!

A small variation of this theme even gives us a third theta function:

$$\vartheta_2(q) := \sum_{n \in \mathbb{Z}} q^{(n+1/2)^2/2} = 2q^{1/8} \prod_{n=1}^{\infty} (1 + q^n)^2 (1 - q^n).$$

[Why don't we also consider $\vartheta_1(q) = \sum_{n \in \mathbb{Z}} (-1)^n q^{(n+1/2)^2/2}$?

Fun with Fourier

Let $f: \mathbb{R} \rightarrow \mathbb{C}$ be a really nice¹ function. Its Fourier transform is

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[You might like to experiment with this to see what it has to do with Dirac delta functions/combs.]

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Note that $|q| < 1 \iff \operatorname{Im} \tau > 0 \iff \operatorname{Re} a = \operatorname{Re}(-\pi i\tau) > 0$.

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$$\begin{aligned} \vartheta_2\left(\frac{-1}{\tau}\right) &= \sqrt{-i\tau} \vartheta_4(\tau), & \vartheta_2(\tau + 1) &= e^{\pi i/4} \vartheta_2(\tau), \\ \vartheta_4\left(\frac{-1}{\tau}\right) &= \sqrt{-i\tau} \vartheta_2(\tau), & \vartheta_4(\tau + 1) &= \vartheta_3(\tau). \end{aligned}$$

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Exercise: Show that $\eta(q) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)$ satisfies

$$2\eta(q)^3 = \vartheta_2(q)\vartheta_3(q)\vartheta_4(q), \quad \eta\left(\frac{-1}{\tau}\right) = \sqrt{-i\tau} \eta(\tau), \quad \eta(\tau+1) = e^{\pi i/12} \eta(\tau).$$

At last some physics!

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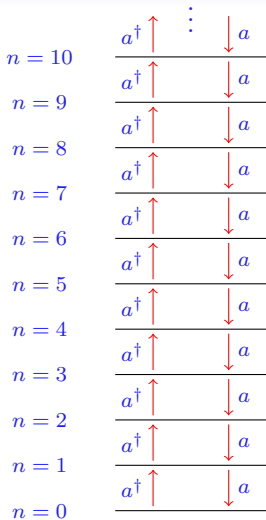
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Even better, the spectrum is **nondegenerate**, meaning that each energy eigenvalue has a one-dimensional eigenspace.



Annihilation operator: a
Creation operator: a^\dagger

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The problem here is that we're doing boring ol' quantum mechanics. To get the good stuff, we need some quantum field theory!

So let's kick it up a notch!



The free bosonic string

We'd like to study the quantum field theory, actually **conformal field theory** (CFT) underlying the massless spinless noninteracting bosonic string (and on a one-dimensional spacetime no less)!

The free bosonic string

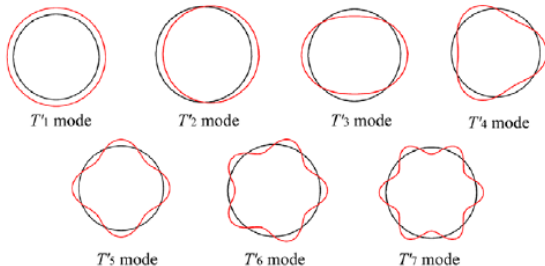
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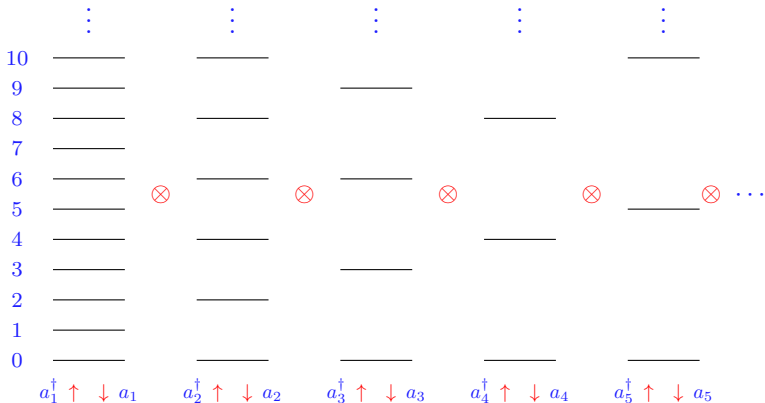
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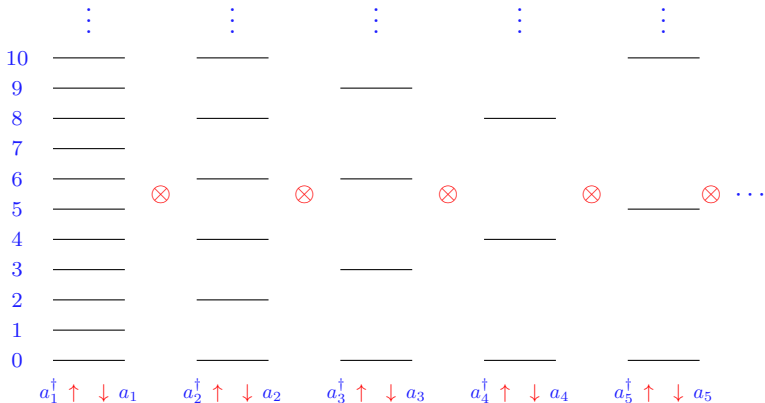


[This is because the equation of motion of the string is the wave equation — see MAST90069.]

Since collections of independent systems are modelled by tensor products, the spectrum of the bosonic string is as follows [ignoring zero-point energies]:



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The upshot is that the partition function of the bosonic string is the product of the partition functions of its harmonic oscillator components.

A number theory question
○○○

And now for some Fourier analysis
○○○

At last some physics!
○○○○○●○○

Why is it so?
○○○○

Where can we go from here?
○○

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We have a harmonic oscillator of frequency $n\omega$, for each $n \in \mathbb{Z}_{>0}$, so

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Set $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$. This converges for $\text{Re } s > 1$, eg. $\zeta(2) = \frac{\pi^2}{6}$, but

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The (zeta-function-regularised) stringy partition function is thus

$$Z_{BS}(q) = \frac{q^{\frac{1}{2} \zeta(-1)}}{\prod_{n=1}^{\infty} (1 - q^n)} = \frac{1}{q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)} = \frac{1}{\eta(q)}.$$

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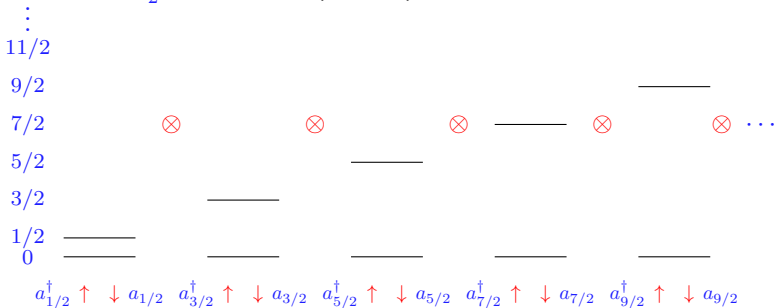
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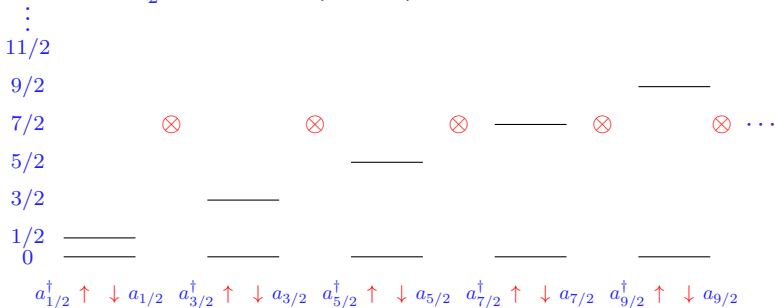


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[Again, we're ignoring the zero-point energies because that requires more work!]

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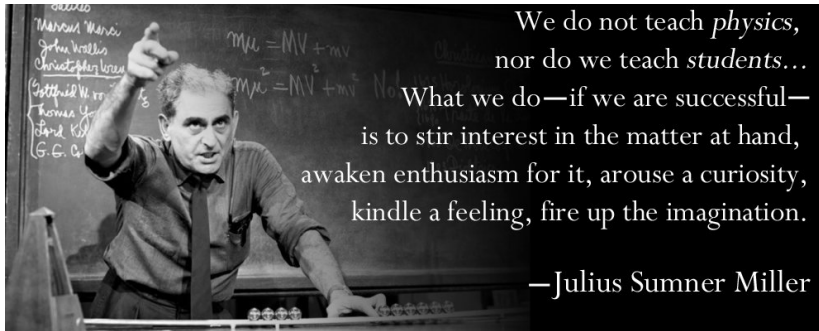
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which is indeed another modular form (as advertised)! Even better:

- Inserting a “fermion number” operator $(-1)^F$ into $Z_{FS}(q)$ results instead in $\sqrt{\vartheta_4(q)/\eta(q)}$ [the **superpartition function**].
- Exchanging antiperiodic boundary conditions [the **Neveu-Schwarz sector**] for periodic ones [the **Ramond sector**] results instead in $\sqrt{\vartheta_2(q)/\eta(q)}$.

Why is it so?

mathematical!



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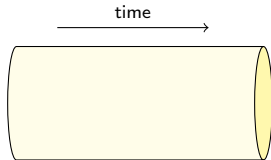
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We won't be able to do justice to this here, but the missing details are (hopefully) covered in MAST90056 and MAST90069.

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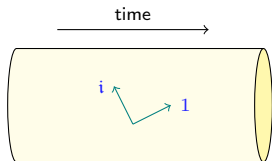
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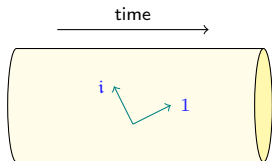
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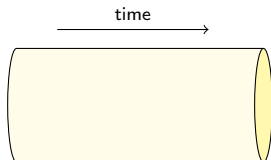


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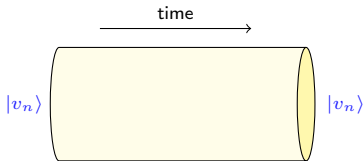


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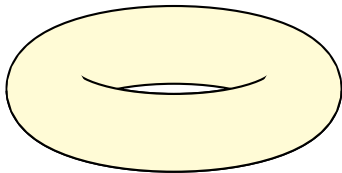


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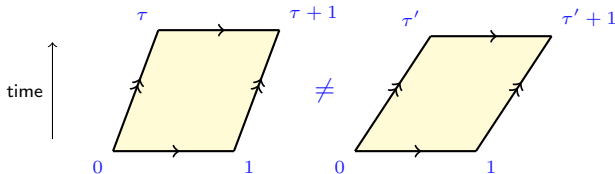


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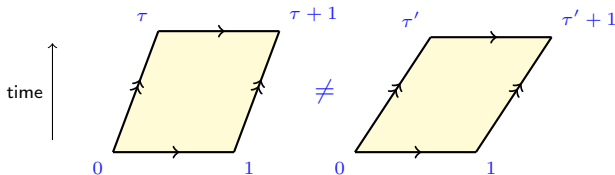


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- These are classified by $\tau \in \mathbb{C}$, $\text{Im } \tau > 0$, modulo $\tau \mapsto \frac{-1}{\tau}$, $\tau \mapsto \tau + 1$.



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Summary: CFT partition functions are modular forms.

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- But in both cases, we can fix it and show that the partition function is indeed modular invariant (for an appropriate definition of modular).

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- These examples seem to arise quite naturally in the simplest known examples of the so-called **logarithmic** CFTs.
- There is currently a focus on understanding these “log-modular” forms and the corresponding log-modular tensor categories... but that's a topic for a completely different talk!

“Only one who attempts the absurd is capable of achieving the impossible.”

— Miguel de Unamuno