In which Physics demands new Mathematics

David Ridout University of Melbourne

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https://wondermark.com/c/134/

VOAs and modules

Weight modules for \mathfrak{sl}_2 00000



Weight modules for \mathfrak{sl}_3 00000 Things to come? 000

- 1. What is conformal field theory?
- 2. Vertex operator algebras and modules
- 3. Weight modules for \mathfrak{sl}_2
- 4. Weight modules for \mathfrak{sl}_3
- 5. Things to come?

VOAs and modules

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Conformal field theory

A conformal transformation is one that preserves angles, eg.

- Translations.
- Rotations.
- Rescalings (dilations).

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Being quantum means that the fields take values in some space of linear operators acting on a complex vector space.

This vector space is often called a Hilbert space, though completeness is actually unphysical (and positive-definiteness is also not mandatory).

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However, when d = 2 ($\mathbb{R}^2 = \mathbb{C}$), the Lie algebra of infinitesimal conformal transformations is infinite-dimensional. It is a direct sum of two copies of the Virasoro algebra $\mathfrak{Vir} = \operatorname{span}\{L_n, C : n \in \mathbb{Z}\}$:

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{m^3 - m}{12}\delta_{m+n,0}C, \quad [L_m, C] = 0.$$

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The representations are also infinite-dimensional, which makes the mathematics of the quantum state space more interesting (and fun)!

VOAs and modules

Weight modules for \mathfrak{sl}_2

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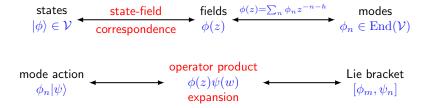
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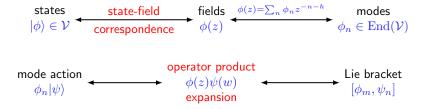
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This vertex operator algebra (VOA) contains \mathfrak{Vir} but may be bigger.



"I am an old man and I know that a definition cannot be so complicated." — I. M. Gelfand (after a seminar on vertex algebras)



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Building a CFT

Upon meeting a CFT, natural first questions include:

- What is its vertex operator algebra (VOA)?
- The quantum state space is which VOA module?



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But, VOAs are heavily constrained as algebras, so their representation theories are often also heavily constrained, *ie.* nice.

For "strongly rational" VOAs, the module category is finite, semisimple, tensor, braided, rigid, fusion and even modular [Huang'04].

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[We don't need to know what these adjectives mean except that they are various degrees of "nice".]

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Some of the most accessible VOAs are those constructed from a simple Lie algebra. We'll consider $\mathfrak{g} = \mathfrak{sl}_N$, the Lie algebra of traceless $N \times N$ complex matrices, equipped with the matrix commutator

 $[A,B] = AB - BA, \quad A, B \in \mathfrak{sl}_N.$

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From this, we construct the (untwisted) affine Kac-Moody algebra

 $\widehat{\mathfrak{sl}}_N = \mathfrak{sl}_N[t, t^{-1}] \oplus \mathbb{C}K,$ $[At^m, Bt^n] = [A, B]t^{m+n} + m\delta_{m+n,0}\operatorname{tr}(AB)K, \quad [At^m, K] = 0.$

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Since the At^m with m = 0 form a copy of \mathfrak{sl}_N in $\widehat{\mathfrak{sl}}_N$, we may induce the trivial \mathfrak{sl}_N -module to a "parabolic Verma module" of $\widehat{\mathfrak{sl}}_N$ on which K acts as multiplication by some $k \in \mathbb{C}$.

When $k \neq -n$, this module becomes a VOA: the universal affine VOA of \mathfrak{sl}_N with level k, denoted by $\mathsf{V}^k(\mathfrak{sl}_N)$ [Frenkel-Zhu'92].

VOAs and modules

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Representations

Now that we have a VOA $V^k(\mathfrak{sl}_N)$, we can ask about its representation theory. This turns out to be complicated because every (smooth) level-k $\widehat{\mathfrak{sl}}_N$ -module is a $V^k(\mathfrak{sl}_N)$ -module.

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However, we can look at smaller categories. Because physicists need partition functions (*aka.* characters), it's natural to consider the category of weight $V^k(\mathfrak{sl}_N)$ -modules with finite-dimensional weight spaces.

VOAs and modules

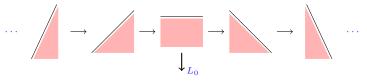
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Using [Futorny-Tsylke'01], every irreducible in this category can be twisted by an automorphism to get a lower-bounded one, *ie.* one for which the eigenvalues of the Virasoro zero mode L_0 are bounded below.



VOAs and modules

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Theorem [Zhu'90,96]: Given a VOA V, there is a unital associative algebra Zhu[V] such that the irreducible lower-bounded V-modules are in bijection with the irreducible Zhu[V]-modules.

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The Zhu algebra of $V^k(\mathfrak{sl}_N)$ is nothing but $U(\mathfrak{sl}_N)$ [Frenkel–Zhu'92]. But, the classification of irreducible weight \mathfrak{sl}_N -modules with finitedimensional weight spaces is surprisingly recent:

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- For N = 2, it dates back at least as far as [Gabriel'59].
- For N = 3, this is much more recent [Britten-Lemire-Futorny'95].
- For N > 3 (and all simple \mathfrak{g}), [Fernando'90, Mathieu'00].

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cf., the classification of finite-dimensional modules [Cartan1913].

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But physics is more interested in modules for the simple quotient $L_k(\mathfrak{sl}_N)$.

Theorem [Gorelik–Kac'06]: $V^k(\mathfrak{sl}_N)$ is a simple VOA unless

$$k+N=rac{u}{v}, \quad ext{with } u\in \mathbb{Z}_{\geqslant 2} ext{ and } v\in \mathbb{Z}_{\geqslant 1} ext{ coprime}.$$

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This ideal is easy to describe if v = 1 and is otherwise difficult.

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The VOA $L_k(\mathfrak{sl}_N)$ with $k \in \mathbb{Z}_{\geq 0}$ describes string theory on SU_N [Witten'84].

- The category of weight L_k(\mathfrak{sl}_N)-modules with finite-dimensional weight spaces is finite and semisimple.
- Every irreducible Zhu[L_k(\$I_N)]-module is highest-weight (actually finite-dimensional).

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There are 4D supersymmetric gauge theories whose 2D dual CFTs are described by the $L_k(\mathfrak{sl}_N)$ with u = N and v > 1 [Beem *et al.*^{'13}].

- The category of weight L_k(\mathfrak{sl}_N)-modules with finite-dimensional weight spaces is neither finite nor semisimple.
- There are infinite-dimensional Zhu[L_k(sl_N)]-modules that are not highest-weight (with respect to any Borel subalgebra).

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Weight modules for \mathfrak{sl}_2

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Recall that \mathfrak{sl}_N is the space of complex traceless $N \times N$ matrices, equipped with the matrix commutator [A, B] = AB - BA. The diagonal traceless matrices form an abelian subalgebra \mathfrak{h} called the Cartan subalgebra.

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An \mathfrak{sl}_N -module \mathcal{M} is weight if there is a basis $\{w_i\}$ of \mathcal{M} such that

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Every finite-dimensional \mathfrak{sl}_N -module is weight.

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Restrict now to N = 2...

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\mathbf{U}(\mathfrak{sl}_2)^{\mathfrak{h}} = \{ U \in \mathbf{U}(\mathfrak{sl}_2) : [\mathfrak{h}, U] = 0 \}.
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This centraliser is abelian, so any weight space of an irreducible $\mathfrak{sl}_2\text{-}$ module is 1-dimensional.

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We arrive at four possible types of irreducible weight \mathfrak{sl}_2 module:

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Restrict now to N = 2...

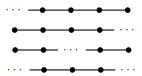
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This centraliser is abelian, so any weight space of an irreducible $\mathfrak{sl}_2\text{-}$ module is 1-dimensional.

We arrive at four possible types of irreducible weight \mathfrak{sl}_2 module:

- 1. It has a "highest" weight:
- 2. It has a "lowest" weight:
- 3. It has both:
- 4. It has neither:



Weight modules for \mathfrak{sl}_3 00000 Things to come? 000

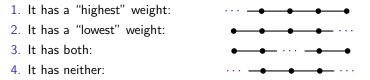
Restrict now to N = 2...

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Types 1. and 2. are described by one continuous parameter, type 3. by one discrete parameter and type 4. by two continuous parameters.

VOAs and modules 000000 Weight modules for \mathfrak{sl}_2 00000 Weight modules for \mathfrak{sl}_3 00000 Things to come? 000

Coherent families

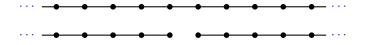
Actually, the first three types may be viewed as "degenerations" of the last, corresponding to tuning the parameters to get reducible modules:

VOAs and modules

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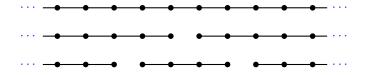




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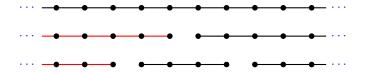




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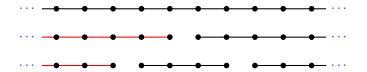
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Weight modules for \mathfrak{sl}_2 00000 Weight modules for **sl**₃ 00000 Things to come? 000

Coherent families

Actually, the first three types may be viewed as "degenerations" of the last, corresponding to tuning the parameters to get reducible modules:



Notice that each type-4. degeneration has exactly one infinite-dimensional irreducible highest-weight submodule.

In fact, these infinite-dimensional highest-weight submodules completely determine the type-4. modules, both reducible and irreducible.

Via degenerations, the infinite-dimensional highest-weight modules thus control the classification of all irreducible weight \mathfrak{sl}_2 -modules, *cf.* [Duflo'71].

VOAs and modules 000000 Weight modules for \mathfrak{sl}_2 00000 Weight modules for **\$1**3 00000 Things to come? 000

To make this precise, [Mathieu'00] introduced coherent families.

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Moreover, every coherent family has either one or two degenerations, hence one or two infinite-dimensional irreducible highest-weight submodules. The latter are related by the shifted action of the Weyl group. To make this precise, [Mathieu'00] introduced coherent families.

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Theorem [Mathieu'00]: For \mathfrak{sl}_2 , equivalence classes of infinite-dimensional irreducible highest-weight modules and coherent families are in bijection. **Proof**: Construct a coherent family from an infinite-dimensional irreducible highest-weight module using "twisted localisation" functors...

VOAs and modules 000000 Weight modules for \mathfrak{sl}_2 00000

Weight modules for \mathfrak{sl}_3 00000 Things to come? 000

What does this mean for VOAs and physics?

A direct corollary (via [Zhu'96, Futorny–Tsylke'01, etc.]) is the classification of irreducible weight $V^k(\mathfrak{sl}_2)$ -modules.

VOAs and modules 000000 Weight modules for \mathfrak{sl}_2 00000 Weight modules for \mathfrak{sl}_3 00000 Things to come? 000

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VOAs and modules 000000 Weight modules for \mathfrak{sl}_2 00000

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The classification for $L_k(\mathfrak{sl}_2)$ is deeper. There are now only finitely many coherent families of relaxed modules and so finitely many highest-weight modules [Adamović-Milas'95, DR-Wood'15, Kawasetsu-DR'19].

VOAs and modules 000000 Weight modules for \mathfrak{sl}_2 00000

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Our understanding of the weight $L_k(\mathfrak{sl}_2)$ -module category is pretty good:

- It is modular, though non-finite and non-semisimple [Creutzig-DR'13].
- It is braided tensor with enough projectives and injectives [Creutzig'23].
- The irreducible fusion rules are known (and nearly proven).
- Rigidity is within our grasp [Orosz Hunziker-Wood'24?].

VOAs and modules

Weight modules for \mathfrak{sl}_2 00000

Weight modules for sl3

Things to come? 000

Weight modules for \mathfrak{sl}_3

We can try to understand weight $\mathfrak{sl}_3\text{-modules}$ by studying the centraliser $U(\mathfrak{sl}_3)^\mathfrak{h}.$ However:

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VOAs and modules

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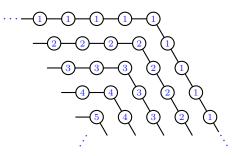
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VOAs and module: 000000 Weight modules for \mathfrak{sl}_2 00000 Weight modules for \mathfrak{sl}_3 00000 Things to come? 000

[Futorny'89] wrote down generators and some relations for $U(\mathfrak{sl}_3)^{\mathfrak{h}}$, which was enough to classify the weight \mathfrak{sl}_3 -modules with finite-dimensional weight spaces [Britten-Lemire-Futorny'95].

VOAs and modules

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VOAs and modules

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Call an infinite-dimensional weight module **bounded** if its weight spaces have a (finite) maximal dimension.

If a highest-weight module is bounded, then it is irreducible.

VOAs and modules

Weight modules for **\$1**2 00000 Weight modules for sl3

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Theorem [Mathieu'00]: Coherent families are in bijection with equivalence classes of bounded infinite-dimensional highest-weight modules.

VOAs and modules

Weight modules for \mathfrak{sl}_2

Weight modules for sl3

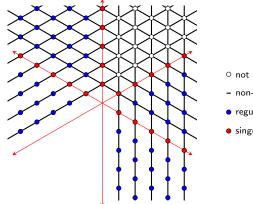
Things to come?

One still has to classify the bounded highest-weight \mathfrak{sl}_3 -modules.

VOAs and modules 000000 Weight modules for \mathfrak{sl}_2 00000 Weight modules for sl3

Things to come? 000

One still has to classify the bounded highest-weight \mathfrak{sl}_3 -modules.



- $^{\circ}$ not bounded
- non-integral bounded
- regular integral bounded
- singular integral bounded

The equivalence classes correspond to orbits under the shifted action of the Weyl group (*ie.* reflections about the red axes).

VOAs and modules 000000 Weight modules for \mathfrak{sl}_2

Weight modules for sl3

Things to come?

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- A finite number of 2-parameter families of relaxed modules.
- A finite number of 1-parameter families of semirelaxed modules.
- A finite number of highest-weight modules.

VOAs and modules

Weight modules for \mathfrak{sl}_2 00000

Weight modules for sl3

Things to come? 000

Trouble in paradise

In general, dense \mathfrak{sl}_3 -modules degenerate into semidense modules and these degenerate into highest-weight modules.

VOAs and modules 000000 Weight modules for \mathfrak{sl}_2 00000

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Things to come?

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VOAs and modules

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Physics is demanding that we enlarge our module category.

VOAs and modules

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A shape of things to come?

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VOAs and modules

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• Zero $\sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \leftrightarrow$ finite-dimensional.

VOAs and modules

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VOAs and modules

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VOAs and modules

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Up to degenerations and automorphism twists, the irreducible $L_k(\mathfrak{sl}_3)$ -modules with these Zhu images generate a subcategory of weight $L_k(\mathfrak{sl}_3)$ -modules, allowing infinite-dimensional weight spaces when $v \ge 3$.

Weight modules for **\$1**3 00000 Things to come?

This (conjecturally) is the physically relevant VOA-module category.

The prediction is then that it:

• Is braided, tensor, modular (in some sense) and rigid.

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The Zhu-module subcategory of weight modules should then admit a filtration/poset corresponding to nilpotent orbits:

VOAs and modules

Weight modules for \mathfrak{sl}_2

Weight modules for **sl**3 00000 Things to come?

This category of weight Zhu-modules with "slightly infinite-dimensional" weight spaces needs characterising (obviously). Here, there are many technical issues to overcome, *eg.* how to identify irreducibles.

VOAs and modules

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Gelfand–Tsetlin combinatorics may be one way to understand these categories. There are natural subclasses of weight modules with infinitedimensional weight spaces called (strongly) tame [Futorny–Morales–Křižka'21].

VOAs and modules

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We hope to connect with this work in the near future.

Either way, there is ample precedent to expect that the mathematical theory that physics is forcing us to develop will be a beautiful one!

"Only one who attempts the absurd is capable of achieving the impossible."

— Miguel de Unamuno