Eliminating the fictitious frequency problem in BEM solutions of the external Helmholtz equation

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Abstract

The problem of the spurious frequency spectrum resulting from numerical implementations of the boundary element method for the exterior Helmholtz problem is revisited. When the ordinary 3D free space Green's function is replaced by a modified Green's function, it is shown that these spurious frequencies do not necessarily have to correspond to the internal resonance frequency of the object. Together with a recently developed fully desingularized boundary element method that confers superior numerical accuracy, a simple and practical way is proposed for detecting and avoiding these fictitious solutions. The concepts are illustrated with examples of a scattering wave on a rigid sphere.

Keywords: Internal resonance, desingularized boundary element method, Frequency shift, Modified Green's function

1 1. Introduction

Recent studies of boundary integral formulation of problems in time domain acoustic scattering [1], dynamic elasticity using the Helmholtz decomposition method [2] and direct field-only formulation of computational elec-

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tromagnetics [3, 4, 5], all rely on finding accurate and efficient methods of
solving the scalar Helmholtz equation. In this regard, it is timely to re-visit
the boundary integral method of solving the Helmholtz equation.

It is well-known that the solution of the Helmholtz equation for external 8 problems obtained by the boundary integral method, BIM, (or its numerical 9 counterpart: boundary element method BEM) can become non-unique at 10 certain frequencies. At these so called fictitious frequencies, spurious solu-11 tions that arise are said to correspond to the internal resonance frequencies 12 of the scatterer. Although there are established methods, most notably due 13 to Schenck [6] and to Burton and Miller [7] that have been developed to elim-14 inate such fictitious solutions, these methods require numerical tools beyond 15 the BIM. For instance, the solution of Schenck requires additional numerical 16 algorithms such as least squares minimization and that of Burton and Miller 17 leads to hypersingular integral equations [8, 9, 10]. Here we show that these 18 fictitious solutions, when they do occur, and their corresponding frequencies 19 in the BIM context depend not only on the shape of the object but also on 20 the choice of Green's function so that these frequencies do not necessarily 21 occur at the corresponding internal resonance frequencies of the object. This 22 observation together with the fact that recently developed desingularized 23 BIM can give sufficiently high precision that the solution is unaffected by 24 such fictitious solutions until the frequency is within about 1 part in 10^4 of 25 a fictitious value. We shall demonstrate how this can be exploited to detect 26 the presence of a spurious solution. Furthermore, the fictitious frequency 27 spectrum can be changed by using different Green's functions in the BIM. 28 Taken together, these developments provide a practical way to detect and 29 eliminate the effects of the fictitious solution without additional numerical 30 effort or adjustable parameters beyond the toolkit of the BIM. 31

The introduction of a modified Green's function also poses a number of interesting but unanswered questions that can provide stimulus for further theoretical development.

To provide physical context to our discussion on how the fictitious solution arises in the solution of the Helmholtz wave equation using the boundary integral method, we consider the example of the scattering of an incident acoustic wave by an object with boundary S in an infinite medium. In the external domain, assumed to be homogeneous, scattered acoustic oscillations are described by the Helmholtz scalar wave equation in the frequency domain:

$$\nabla^2 \phi + k^2 \phi = 0, \tag{1}$$

where $k = \omega/c$ is the wave number, ω the angular frequency and c the speed of sound. The (complex) acoustic potential, ϕ , is related to the scattered velocity: $\boldsymbol{u} = \nabla \phi$. Since Eq. 1 is elliptic, the Green's function formalism can be used to express the solution as that of a boundary integral equation [11, 12]

$$c(\boldsymbol{x}_0)\phi(\boldsymbol{x}_0) + \int_S \phi(\boldsymbol{x}) \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_0|k)}{\partial n} \, \mathrm{d}S(\boldsymbol{x}) = \int_S \frac{\partial \phi(\boldsymbol{x})}{\partial n} G(\boldsymbol{x}, \boldsymbol{x}_0|k) \, \mathrm{d}S(\boldsymbol{x}), \quad (2)$$

46 where

$$G(\boldsymbol{x}, \boldsymbol{x}_0|k) = \frac{e^{ikr}}{r}$$
(3)

is the 3D Green's function with $r = \|\boldsymbol{x} - \boldsymbol{x}_0\|$ and $\partial/\partial n \equiv \boldsymbol{n} \cdot \nabla$ is the normal 47 derivative where the normal vector \boldsymbol{n} points out of the domain, and thus into 48 the object. The position vector \boldsymbol{x} in Eq. 1 is located on the boundary S. If 49 the observation point x_0 is located outside the object (i.e. within the solution 50 domain), the solid angle $c = 4\pi$, if \boldsymbol{x}_0 is located inside the object (i.e. *outside* 51 the solution domain), c = 0, and if x_0 is located on the surface, S, of the 52 object and that point on S has a continuous tangent plane, then and only 53 then $c = 2\pi$, otherwise the value of the solid angle c is determined by the 54 local surface geometry at \boldsymbol{x}_0 . 55

The advantages of using Eq. 2 over other methods such as using finite difference in the 3D domain are the obvious reduction in the spatial dimension by one and that it is relatively easy to accommodate complicated shapes without deploying multi-scale 3D grids. Also the Sommerfeld radiation condition at infinity [13] is automatically satisfied by Eq. 2.

For the simple example of the scattering of an incoming plane wave specified by $\phi^{\text{inc}} = \Phi_0 e^{i \mathbf{k} \cdot \mathbf{x}}$ (with Φ_0 a constant and $\|\mathbf{k}\| = k$) by a rigid object, the velocity potential, ϕ of the scattered wave can be found by solving Eq. 1. The condition of zero normal velocity on the surface is equivalent to the following boundary condition on $S: \partial \phi / \partial n = -\partial \phi^{\text{inc}} / \partial n$. In this case, the right hand side of Eq. 2 is known so this equation can be solved for the velocity potential, $\phi(\mathbf{x}_0)$, with \mathbf{x}_0 on the surface.

We now demonstrate using this example of a Neumann problem where $\partial \phi / \partial n$ is given on the surface S, that there exists certain values of $k = k_f$, at which the solution ϕ of Eq. 2 is no longer unique. This occurs at those frequencies k_f whereby a non-trivial function f can exist to satisfy the ⁷² following homogeneous equation:

$$c(\boldsymbol{x}_0)f(\boldsymbol{x}_0|k_f) + \int_S f(\boldsymbol{x}|k_f) \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_0|k_f)}{\partial n} \, \mathrm{d}S = 0.$$
(4)

Consequently Eq. 2 will admit a solution of the form $\phi + bf$ on the sur-73 face S, where b is an arbitrary constant and f, the spurious solution, also 74 satisfies the integral equation with zero normal derivative on S. Thus the 75 fictitious frequency, k_f and the corresponding spurious solution, $f(\boldsymbol{x}|k_f)$ are 76 the eigenvalue and eigenfunction of Eq. 4, respectively. The existence of spu-77 rious frequencies in boundary integral methods for Helmholtz equations was 78 already identified by Helmholtz in 1860 [14], who said on page 24 (see also 79 page 29 of his book [15]), while discussing the integral equation, Eq. 2: 80

⁸¹ ...aber für eine unendlich grosse Zahl von bestimmten Werthen ⁸² von k für eine jede gegebene geschlossene Oberfläche Ausnahmen ⁸³ erleidet. Es sind dies nämlich diejenigen Werthe von k, die den ⁸⁴ eigenen Tönen der eingeschlossenen Luftmasse entsprichen.

⁸⁵ This text was more or less translated directly by Rayleigh [16] in his book:

For a given space S there is a series of determinate values of k, corresponding to the periods of the possible modes of simple harmonic vibration which may take place within a closed rigid envelope having the form of S. With any of these values of k, it is obvious that ϕ cannot be determined by its normal variation over S, and the fact that it satisfies throughout S the equation $\nabla^2 \phi + k^2 \phi = 0.$

Note that the internal resonance problem corresponds to a problem with $\phi = 0$ on the surface, S and $g \equiv \partial \phi / \partial n \neq 0$ in Eq. 2, is given by

$$\int_{S} g(\boldsymbol{x}|k_f) G(\boldsymbol{x}, \boldsymbol{x}_0|k_f) \, \mathrm{d}S = 0,$$
(5)

which is different from Eq. 4. It is not immediately obvious that Eqs. 4 and
5 will produce the same fictitious spectrum and in fact, as we shall see later
in Section 4, this is not always the case.

In theory, the spurious solution only appears if k is *exactly* equal to k_f so that it is not an issue in analytic work nor if computations have infinite

numerical precision. With the advent of numerical techniques in the late 100 1960's and early 1970's, the boundary integral equation was transformed into 101 the boundary element method (BEM). The issue of spurious frequencies now 102 resurfaced once more in the numerical implementations. In the conventional 103 implementation of the BEM [12], the surface S is represented by a mesh 104 of planar area elements and the unknown value of $\phi(\mathbf{x})$ on the surface is 105 assumed to be a constant within each planar element and only varies from 106 element to element. The surface integral is thus converted to a linear system 107 in which the values of ϕ at different area elements are unknowns to be solved. 108 The practicality of discretization where the representation of the surface S109 by a finite number of planer elements and round off errors in numerical 110 computation mean that effects of the spurious solution begin to be important, 111 not only when $k = k_f$, but even when the value of k is near k_f . For instance, 112 in a conventional implementation of the BEM, the apparent location of the 113 fictitious frequency, k_f can be in error because of the approximation involved 114 in representing the actual surface by a set of planar elements. Thus the mean 115 relative error can exceed 100% when k is within 1-2% of the actual fictitious 116 frequency (see Fig.1 for examples of a sphere with radius R at $kR \approx \pi$ and 117 $kR \approx 2\pi$). Since the values of k_f are not known *a priori* for general boundary 118 shapes, S, the accuracy of any BEM solution of the Helmholtz equation can 119 become problematic. 120

Two popular methods to deal with this issue that are still in use today 121 are due to Schenck [6] and to Burton and Miller [7]. Schenck introduced 122 the CHIEF method whereby the BEM solution is evaluated at additional 123 internal points inside the scatterer with the requirement that such values 124 must vanish. This results in an over-determined matrix system that requires 125 a least square solution entailing considerable additional computational time, 126 especially for larger systems. However, the CHIEF method does not stipulate 127 how many CHIEF points should be used and where they should be placed. 128 The Burton and Miller [7] method involves taking the normal derivative of 129 Eq. 2, multiplying it by an appropriate complex number and then adding it to 130 the original equation. It is claimed that Eq. 2 and its normal derivative have 131 different resonance spectra and this therefore solves the spurious frequency 132 problem. Due to the use of the normal derivative of Eq. 2, the Burton and 133 Miller method involves having to deal with strongly singular kernels. This 134 approach therefore has the disadvantage that it requires special quadrature 135 rules for higher order elements [17]. 136

¹³⁷ The issue of spurious solutions is revisited in this article. Clearly, if a

numerical implementation of the BEM is not sensitive to the fact that k may 138 be close to a fictitious value k_f , then the effects of a spurious solution will 139 be minimized. Furthermore, the spectrum of spurious frequencies does not 140 only depend on the shape of the object, but also on the choice of the Green's 141 function. As the classical free space Green's function or fundamental solution 142 of Eq. 3 is not the only choice that can be used, it can be replaced by other 143 fundamental solutions, as long as they are analytic in the external domain and 144 they satisfy the Sommerfeld radiation condition [18]. Thus using a different 145 Green's function will shift the spectrum of fictitious frequencies relative to 146 a given k value. Although the theoretical framework of modified Green's 147 functions has been discussed extensively in the literature [18, 19, 20, 21, 22], 148 little attention appears to have been paid to the actual implementation. In 149 this article we address this issue. 150

The development of our suggestion to eliminate the fictitious frequency 151 problem in BEM solutions of the external Helmholtz equation is organized 152 as follows. In Section 2, we outline how a desingularized implementation of 153 the BEM that is not affected by a spurious solution unless k is very close 154 to a fictitious value k_f , can be used to decide if an BEM solution has been 155 adversely affected by the presence of a spurious component. This framework 156 also enables us to implement higher order elements with ease. In Section 3 the 157 spectrum of fictitious frequencies and corresponding spurious solutions are 158 studied as the solution of an homogeneous integral equation. In Section 4, a 159 modified Green's function is introduced to show how it can be used to change 160 the spectrum of fictitious frequencies. Thus by employing the desingularized 161 BEM, it is sometimes easy to determine by comparing the solutions obtained 162 from using the conventional Green's function in Eq. 3, and from a modified 163 Green's function whether the solutions have been adversely affected by the 164 presence of a spurious solution associated with a fictitious frequency. Some 165 discussion and the conclusion follow in Sections 5 and 6, respectively. 166

¹⁶⁷ 2. Minimize the proximity effects to a fictitious frequency

As noted earlier, discretization and round off errors can cause the spurious solution to become important when the wave number happens to be near a fictitious value. However, since the spectrum of fictitious frequencies is not generally known *a priori*, the numerical accuracy of a solution obtained by the BEM becomes uncertain. Therefore, to ameliorate the fictitious frequency problem, it is valuable to have an accurate implementation of the BEM that will not produce a spurious component to the solution unless the frequency k is extremely close to an unknown fictitious frequency. This is provided by a recently developed fully desingularized boundary element formulation [23, 24], a concept that was first introduced for the Laplace BEM by Klaseboer et al. [25]. In this framework, the traditional singularities of the Green's function and its normal derivative in the BEM integrals are removed analytically from the start.

High accuracy can be achieved in this approach firstly due to the fact 181 that all elements (including the previously singular one) are treated in the 182 same manner with the same Gaussian quadrature scheme. The second rea-183 son for the high accuracy lies in the fact that instead of using planar area 184 elements in which the unknown functions are assumed to be constant within 185 such elements, the unknowns are now function values at node points on the 186 surface, and the surface is represented by quadratic area elements deter-187 mined by these nodal points. In calculating integrals over the surface ele-188 ments, variation of the function value within each element is also estimated 189 by quadratic interpolation from the nodal values. The numerical implemen-190 tation is straightforward, once the linear system is set up, the usual linear 191 solvers can be used. The thus obtained framework is termed Boundary Reg-192 ularized Integral Equation Formulation (or BRIEF in short [24]). 193

Here is a brief description of the desingularized boundary element formulation, details of which are given in previous works [23, 24]. Assume we have a known analytic solution, $\Psi(\boldsymbol{x})$, of Eq. 1 which then also satisfies Eq. 2 as:

$$c\Psi(\boldsymbol{x}_0) + \int_S \Psi(\boldsymbol{x}) \frac{\partial G(\boldsymbol{x}, \boldsymbol{a}|k)}{\partial n} \, \mathrm{d}S = \int_S \frac{\partial \Psi(\boldsymbol{x})}{\partial n} G(\boldsymbol{x}, \boldsymbol{a}|k) \, \mathrm{d}S. \tag{6}$$

Without loss of generality, we can demand that this solution further satisfies
the following two point-wise conditions:

$$\lim_{\boldsymbol{x} \to \boldsymbol{x}_0} \Psi(\boldsymbol{x}) = \phi(\boldsymbol{x}_0) \tag{7}$$

199

$$\lim_{\boldsymbol{x}\to\boldsymbol{x}_0}\frac{\partial\Psi(\boldsymbol{x})}{\partial n} = \frac{\partial\phi(\boldsymbol{x}_0)}{\partial n}$$
(8)

A convenient but not the only possible choice is a combination of two standing waves, one with the node of the wave and the other with the antinode situated at \boldsymbol{x}_0 , both aligned with $\boldsymbol{n}(\boldsymbol{x}_0)$ [23] as:

$$\Psi(\boldsymbol{x}) = \cos\left(k\boldsymbol{n}(\boldsymbol{x}_0) \cdot [\boldsymbol{x} - \boldsymbol{x}_0]\right)\phi(\boldsymbol{x}_0) + \frac{1}{k}\sin\left(k\boldsymbol{n}(\boldsymbol{x}_0) \cdot [\boldsymbol{x} - \boldsymbol{x}_0]\right)\frac{\partial\phi(\boldsymbol{x}_0)}{\partial n}.$$
(9)

²⁰³ Substituting Eq. 9 in Eq. 6 and subtracting the result from Eq. 2 gives:

$$4\pi\phi(\boldsymbol{x}_{0}) + \int_{S} \left[\phi(\boldsymbol{x}) - \Psi(\boldsymbol{x})\right] \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0}|k)}{\partial n} \, \mathrm{d}S = \int_{S} \left[\frac{\partial\phi(\boldsymbol{x})}{\partial n} - \frac{\partial\Psi(\boldsymbol{x})}{\partial n}\right] G(\boldsymbol{x}, \boldsymbol{x}_{0}|k) \, \mathrm{d}S.$$
(10)

The conditions from Eqs. 7 and 8 guarantee that the terms in [...] on both sides of Eq. 10 cancel out the singularities of the Green's function and its derivative by noting that

$$\frac{\partial \Psi(\boldsymbol{x})}{\partial n} = \boldsymbol{n} \cdot \nabla \Psi = -k\boldsymbol{n}(\boldsymbol{x}) \cdot \boldsymbol{n}(\boldsymbol{x}_0) \sin\left(k\boldsymbol{n}(\boldsymbol{x}_0) \cdot [\boldsymbol{x} - \boldsymbol{x}_0]\right) \phi(\boldsymbol{x}_0) \\
+ \boldsymbol{n}(\boldsymbol{x}) \cdot \boldsymbol{n}(\boldsymbol{x}_0) \cos\left(k\boldsymbol{n}(\boldsymbol{x}_0) \cdot [\boldsymbol{x} - \boldsymbol{x}_0]\right) \frac{\partial \phi(\boldsymbol{x}_0)}{\partial n},$$
(11)

and the fact that $\mathbf{n}(\mathbf{x}) \cdot \mathbf{n}(\mathbf{x}_0) \to 1$, when \mathbf{x} approaches \mathbf{x}_0 for any smooth surface. Note that the solid angle in Eq. 10 has been eliminated, but a term with $4\pi\phi(\mathbf{x}_0)$ appears due to the contribution of the integral over a surface at infinity because of the particular choice of Eq. 9. Also note from Eq. 9 that Ψ is a different function for each node on the surface.

We now consider the example of solving the scattering problem by a solid sphere with radius R for which the spectrum of fictitious frequencies is known. A list of the values of the lower fictitious frequencies and the equation that generates them are given in Table 1 where we see that two of the lowest fictitious frequencies are at $k_f R = \pi$ and $k_f R = 2\pi$. In Fig. 1, we quantify the behaviour of the BEM solution for kR values in the neighborhood these 218 2 fictitious values in terms of the mean square error defined by

Mean Error =
$$\frac{\sqrt{\sum_{i=1}^{\text{DOF}} (|\phi_{\text{num}}^i| - |\phi_{\text{ana}}^i|)^2}}{\text{DOF}},$$
(12)

where ϕ_{num}^{i} and ϕ_{ana}^{i} are, respectively, the numerical (BEM) and analytic solution at node *i*. The number of nodes used in the desingularized BEM, the Degree of Freedom (DOF), is around 2000. We see that the mean squared error even in the small neighborhoods $0.94\pi < kR < 1.06\pi$ and $1.94\pi < kR < 2.06\pi$ around the 2 fictitious frequencies is extremely localized. In fact, the BEM solutions obtained by the desingularized BEM [23, 24] are unaffected by fictitious solutions until the frequency is within about 1 part



Figure 1: Comparison of the mean error defined in Eq. 12 as a function of the frequency near a resonant values (a) $k_f R = \pi$ and (b) $k_f R = 2\pi$ obtained using the conventional BEM (CBIM) approach and the desingularized BEM formulation (BRIEF). When using CBIM, the sphere surface is discretised with 2000 flat elements (DOF = 2000); while using BRIEF, the sphere surface is discretised with 980 quadratic elements connected by 1962 nodes (DOF = 1962). In the inset of (b), we see that the solution obtained using the BRIEF is unaffected by the spurious solution when kR is with 1 part in 10⁴ of $k_f R$.

in 10⁴ of a fictitious value. The results for the conventional boundary integral
method (CBIM) are also shown. Note that the fictitious frequency predicted
by the CBIM is significantly higher than the known theoretical value in these
examples.

Similar remarks apply for the behavior of the desingularized BEM solu-230 tion in the neighborhood of the lowest m = 1 fictitious value $k_f R = 4.49341$ 231 (see Table 1) shown Fig. 2. Here we show the values of the real and imagi-232 nary parts of the solution of nodes at the front and at the back of the sphere. 233 The effect of the spurious solution can only be discerned in the very narrow 234 window 4.493 < kR < 4.494 around $k_f R = 4.49341$. But outside this win-235 dow, there is no noticeable effect due to kR being close to the fictitious value, 236 $k_f R$. For example, if at the values kR = 3.140 and kR = 4.490 as given in 237 Kinsler [11], page 518, the desingularized BEM (BRIEF) was used to solve 238 the Helmholtz equation, the solution would not register as giving spurious 239 results. As we shall see below, the extremely small range the window of kR240 values that would be affected by the fictitious solution, if a sweep of 10,000 241



Figure 2: Real and imaginary part of the scattered potential ϕ at the back and at the front of the sphere with the desingularized boundary element method showing the spurious response around $k_f R = 4.49341$, from kR = 4.490 to kR = 4.496. A quadratic mesh was used with 1442 nodes and 720 elements.

frequencies from kR = 0 to 10 would be performed in steps of 0.001 one would miss many fictitious solutions (since a step size of 0.001 would not be precise enough to detect all of them).

From the above results, we can conclude that the effects of resonance are not observed until one is extremely close to the resonant frequency in our desingularized BEM [23, 24].

248 3. The genesis of spurious solutions

In the example of acoustic scattering by a rigid scatterer that was dis-249 cussed in the previous section, $\partial \phi / \partial n$ on the surface of the scatterer is spec-250 ified (Neumann boundary conditions), and the variation of ϕ on the surface 251 is the unknown to be found. At certain frequencies however, instead of the 252 expected ϕ , another function say, $\phi + f$ emerges. The frequencies at which 253 this occurs, are often said to correspond to the internal resonance frequency 254 of the same object. Often the Fredholm integral theory is used to explain 255 the occurrence of the fictitious frequency and it is thereby directly related to 256 the corresponding internal resonance frequency of the object [26]. However, 257 by working directly with the integral equation that determines the spurious 258 solution, f, it is easy to demonstrate how the effects of the spurious solution 250 can be detected. 260

First we use the example of scattering on a rigid sphere of radius, R, to 261 demonstrate how the spurious solution and the fictitious frequency is deter-262 mined by the Green's function and the boundary shape. For simplicity, we 263 consider the solution of the Helmholtz equation outside a sphere that has 264 azimuthal symmetry for which the solution on the sphere surface can be ex-265 panded in terms of Legendre polynomials of order $m, P_m(\cos\theta)$ to account 266 for variations in the polar angle, θ . In this case, the fictitious frequencies for 267 different m values are known. We consider in detail the spurious solution, f, 268 and the fictitious frequency, k_f for the cases with m = 0 and m = 1. 269

270 3.1. Case:
$$f \sim P_0(\cos \theta)$$
, a constant, $m = 0$

In this case, the spurious solution, f is a constant, being proportional to $P_0(\cos\theta)$, on the surface of the sphere of radius, R and $c(\boldsymbol{x}_0) = 2\pi$, then Eq. 4, at the fictitious wave number, k_f , becomes:

$$2\pi + \int_{S} \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0} | k_{f})}{\partial n} \, \mathrm{d}S(\boldsymbol{x}) = 0.$$
(13)

The integral of $\partial G(\boldsymbol{x}, \boldsymbol{x}_0|k) / \partial n$, can be evaluated (see Appendix A) to give

$$\int_{S} \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0} | k_{f})}{\partial n} \, \mathrm{d}S(\boldsymbol{x}) = -2\pi \Big\{ e^{i2k_{f}R} + \frac{1}{ik_{f}R} \big[1 - e^{i2k_{f}R} \big] \Big\}$$
(14)

²⁷⁵ so that Eq. 13 is equivalent to

$$\sin(k_f R)[1 - ik_f R] = 0.$$
(15)

Thus the spectrum of fictitious frequencies corresponding to a constant spurious function, $f \sim P_0(\cos \theta)$, on the surface with m = 0 is

$$\sin(k_f R) = 0$$
 or $k_f R = \pi, 2\pi, 3\pi....$ (16)

see also the first row of Table 1. In the external 3D domain, the spurious solution $f(\boldsymbol{x})$ that emerges numerically from the BEM solution corresponding to $k_f R = \pi$ is: $f(\boldsymbol{x}) = c_3 e^{ik_f ||\boldsymbol{x}||} / ||\boldsymbol{x}||$, where c_3 is an arbitrary constant and the origin of \boldsymbol{x} taken at the origin of the sphere.

Table 1: Values of the fictitious frequency that correspond to scattering by a rigid sphere with Neumann boundary condition. The three lowest values that are the solutions to the eigenvalue equation at each m value given in the right most column are given to 6-7 significant figures.

\overline{m}		$k_f R$		Equation: $x \equiv k_f R$
	1^{st}	2^{nd}	3^{rd}	·
0	3.14159	6.28319	9.424778	$\tan x = 0$
1	4.49341	7.72525	10.90412	$\tan x = x$
2	5.763459	9.095011	12.32294	$\tan x = \frac{3x}{3-x^2}$
3	6.987932	10.41712	13.69802	$\tan x = \frac{15x - x^3}{15 - 6x^2}$
4	8.182561	11.70491	15.03966	$\tan x = \frac{105x - 10x^3}{105 - 45x^2 + x^4}$
5	9.355812	12.96653	16.35471	$\tan x = \frac{945x - 105x^3 + x^5}{945 - 420x^2 + 15x^4}$

282 3.2. Case: $f \sim P_1(\cos \theta), m = 1$

A similar calculation to the one given in Section 3.1, for a spurious function, $f \sim P_1(\cos \theta)$, for m = 1 leads to (see Appendix B)

$$\tan(k_f R) = k_f R. \tag{17}$$

The first few solutions to Eq. 17 are given in the m = 1 row of Table 1. Again, these values are equal to those of the corresponding internal eigenvalue problem, yet they have been derived here purely from a boundary integral equation perspective. Spurious frequencies for higher order values of m can also be obtained in a similar manner. Table 1 contains all spurious frequencies below $k_f R = 10$ for a sphere.

The above derivation that starts from the homogeneous integral equation, Eq. 4 demonstrates the role of the Green's function and the boundary shape in determining the spectrum of fictitious frequencies and spurious solutions for acoustic scattering by a solid sphere. We can now show how to modify the fictitious frequency spectrum using different Green's functions.

²⁹⁶ 4. The modified Green's function

Different forms of the Green's function can be used to construct the integral equation of the BEM as long as they satisfy the same differential equation in the solution domain and the Sommerfeld radiation condition at infinity as



Figure 3: Definition of the length $r' = ||\boldsymbol{x} - \boldsymbol{a}||$, with $\boldsymbol{a} = (0, 0, a)$ a fixed point inside the sphere with radius R. Also shown is the angle α . The length L satisfies $(L-a)^2 + \rho^2 = r'^2$ and since $\cos \alpha = L/R$, it follows that $a \cos \alpha = (R^2 + a^2 - r'^2)/(2R)$.

the free space Green's function. A simple modified Green's function, G_{mod} , can be taken as

$$G_{\text{mod}}(\boldsymbol{x}, \boldsymbol{x}_0 | k) \equiv G(\boldsymbol{x}, \boldsymbol{x}_0 | k) + \Delta G(\boldsymbol{x}, \boldsymbol{x}_0 | k)$$

= $G(\boldsymbol{x}, \boldsymbol{x}_0 | k) + c_2 G(\boldsymbol{x}, \boldsymbol{a} | k)$ (18)

where the origin is taken to be the center of the sphere and the vector \boldsymbol{a} corresponds to a point *inside* the sphere $(|\boldsymbol{a}| < R)$ with c_2 an arbitrary constant. The integral equation that implements the BEM with G_{mod} becomes:

$$c\phi(\boldsymbol{x}_{0}) + \int_{S} \phi(\boldsymbol{x}) \left[\frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0}|k)}{\partial n} + c_{2} \frac{\partial G(\boldsymbol{x}, \boldsymbol{a}|k)}{\partial n} \right] dS(\boldsymbol{x}) = \int_{S} \frac{\partial \phi(\boldsymbol{x})}{\partial n} \left[G(\boldsymbol{x}, \boldsymbol{x}_{0}|k) + c_{2}G(\boldsymbol{x}, \boldsymbol{a}|k) \right] dS(\boldsymbol{x}).$$
(19)

The additional term $G(\boldsymbol{x}, \boldsymbol{a}|k)$ although singular at the location \boldsymbol{a} , does not create any singular behavior on the surface S, since $||\boldsymbol{x} - \boldsymbol{a}||$ never becomes zero (see also Fig. 3). The modified Green's function, $G_{\text{mod}}(\boldsymbol{x}, \boldsymbol{x}_0|k)$, also satisfies the Sommerfeld radiation condition at infinity.

309 4.1. Case: $f \sim P_0(\cos \theta)$, a constant, m = 0 with modified G_{mod}

Let us now investigate how the modified Green's function defined in Eq. 18 and 19 can affect the spectrum of fictitious frequencies that is now determined by

$$2\pi + \int_{S} \left[\frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0} | k_{f})}{\partial n} + \frac{\partial G(\boldsymbol{x}, \boldsymbol{a} | k_{f})}{\partial n} \right] \, \mathrm{d}S(\boldsymbol{x}) = 0.$$
(20)



Figure 4: a) Results obtained with the desingularized boundary element method [23, 24] with a classical free space Green's function, Eq. 3, with 720 six node quadratic elements and 1442 nodes. The real and imaginary part of the scattered ϕ in front of and behind a sphere with radius R due to an incident plane wave with wavenumber k as a function of kR. The effect of fictitious solutions can clearly be observed as sharp peaks and correspond to spurious frequencies listed in Table 1. More data points have been used near the fictitious frequencies. b) Results using the modified Green's function, Eq. 18. The spurious responses corresponding to $ka = \pi$, $ka = 2\pi$ and $ka = 3\pi$ are now eliminated. Besides the implementation of the modified Green's function, the parameters used are the same as those in a).

Evaluating the integrals (see Appendix C) then gives the equation that determines the spectrum of fictitious frequencies

$$\sin(k_f R) + c_2(R/a)\sin(k_f a) = 0.$$
 (21)

Thus the original fictitious frequency spectrum given by $\sin(k_f R) = 0$ in Eq. 16 due to the use of the unmodified Green's function in Fig. 4a has been replaced by a different spectrum given by Eq. 21 in Fig. 4b. Furthermore, the precise value of a is not critical. In fact, since $\sin(k_f a)/a \to k_f$ as $a \to 0$, we can put a = 0, that is, at the center of the sphere. In the results shown in Fig. 4, we have taken a = 0 and $c_2 = -1$.

For m = 0, Eq. 21 will in general assure that the new fictitious frequency spectrum obtained with the modified Green's function, G_{mod} will be different from that obtained with the original Green's function, G. However, there are still ways for which this may not be true.

• Firstly, it is still possible that both $\sin(k_f R)$ and $\sin(k_f a)$ vanish, that is, the original spectrum and the modified spectrum contain common

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Figure 5: An example where the original and modified spectrum have common values. Here $kR = 2\pi$ is fixed and a/R is varied slightly near the value a/R = 0.5, (thus $ka = \pi$) resulting in $\sin(k_f R) = 0$ and $\sin(k_f a) = 0$ simultaneously in Eq. 21 and the modified Green's function framework fails. Plotted are the real and imaginary part of the scattered potential ϕ at the nodes in front and at the back of the sphere. In the neighbourhood of a/R = 0.5, the solution is still accurate up to 2% at a/R = 0.499 and a/R = 0.501. The value at a/R = 0.5 is highly erroneous at $\phi_{Front} = 1.51 + i5.72$ and $\phi_{Back} = 1.60 + i5.29$ (for a = 0, $\phi_{Front} = 0.03858 + i0.1443$ and $\phi_{Back} = 0.1230 - i0.2893$). A quadratic mesh was used with 1442 nodes and 720 elements.

values. An example of such a case can be observed when $k_f R = 2\pi$ and a = 0.5R (thus $k_f a = \pi$ and $\sin(k_f a) = 0$). This was tested numerically and indeed for these parameters there is still a spurious solution corresponding to the common fictitious frequency values in the 2 spectra as illustrated in Fig. 5.

A second way in which a spurious behaviour can still be observed, 332 is when for particular parameters of k_f , R, a and c_2 , Eq. 21 is still 333 zero. An instance of such spurious behavior can be observed for the 334 parameters $k_f R = 0.5$, a = 0.3R and $c_2 = -0.9624563$. The fictitious 335 solution for these parameters is about 100 times the theoretical value 336 in a numerical test. It is interesting to note that a fictitious frequency 337 now appears at $k_f R = 0.5$, a frequency value that was previously free of 338 spurious behavior. This is an example of a frequency shift of the lowest 339 spurious behavior from $k_f R = \pi$ to a lower frequency of $k_f R = 0.5$. 340 However, if $c_2 = -0.9620000$ is chosen, thus only slightly different from 341 $c_2 = -0.9624563$, no spurious behavior is observed at all (see Fig. 6). 342





Figure 6: Spurious behavior when for particular parameters of k_f , R, a and c_2 , Eq. 21 is still zero. Here we have the case $k_f R = 0.5$ and a = 0.3R and the parameter c_2 is varied from -0.963 to -0.962. Only when c_2 is very close to the "critical" value of $c_2 = -0.9624563$ does the solution starts to degenerate. The value at $c_2 = -0.9624563$ has large errors at $\phi_{Front} = 0.2531 - i8.352$ and $\phi_{Back} = -0.7462 - i8.410$. These results were obtained with a quadratic mesh with 1442 nodes and 720 elements.

the boundary S. In order to investigate this, in Fig. 7, the potentials in front and at the back of the sphere are shown, while the location of *a* of the modified Green's function is varied from a = 0.0 to 1.0. From the figure it can be deduced that *a* should not be placed closer to the boundary S than roughly the meshsize.

To conclude, for m = 0, the modified Green's function approach can indeed remove the spurious behavior of the solution. In the next section, the m = 1 case will be investigated.

352 4.2. The
$$m = 1$$
 case

In Section 4.1, it was shown that for f = constant (or m = 0), the modified Green's function can indeed remove the spurious solutions. A similar proof can now be attempted for m = 1. In analogy to Eq. B.1, it must now be shown that

$$2\pi R + \int_{S} z \left[\frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0} | k_{f})}{\partial n} + c_{3} \frac{\partial G(\boldsymbol{x}, \boldsymbol{a} | k_{f})}{\partial n} \right] \, \mathrm{d}S(\boldsymbol{x}) = 0.$$
(22)

357 Thus the integral

$$\int_{S} z \frac{\partial G(\boldsymbol{x}, \boldsymbol{a} | k_f)}{\partial n} \, \mathrm{d}S(\boldsymbol{x}) \tag{23}$$



Figure 7: Variation of the potentials ϕ in front and at the back of the sphere as a function of a/R, the parameter a = (a, 0, 0) in the modified Green's function with $kR = \pi$ and $c_2 = 1.0$. The results were obtained with a quadratic mesh with 1442 nodes and 720 elements, which results in an average distance between nodes of about 0.05*R*. This is roughly the distance where the solution starts to deviate from the analytical value at a/R = 0.95. The solution does not diverge, even at exactly a = R, although the value is incorrect.

must be determined. The framework of Eqs. C.4, C.6 can be adapted immediately, provided that we add z in the equations. With $z = R \cos \alpha = [R^2 + a^2 - r'^2]/(2a)$:

$$\int_{S} z \frac{\partial G(\boldsymbol{x}, \boldsymbol{a} | k_{f})}{\partial n} \, \mathrm{d}S(\boldsymbol{x}) =$$

$$\frac{2\pi R}{a} \int_{R-a}^{R+a} \frac{R^{2} + a^{2} - r'^{2}}{2a} \Big[-R + \frac{R^{2} + a^{2} - r'^{2}}{2R} \Big] \frac{e^{ikr'}}{r'^{2}} [ikr' - 1] \, \mathrm{d}r'$$
(24)

This integral can be shown not to be equal to zero. However, a similar calculation for x or y instead of z, shows that due to symmetry (provided that x_0 is still situated on the z-axis):

$$\int_{S} x \frac{\partial G(\boldsymbol{x}, \boldsymbol{a} | k_f)}{\partial n} \, \mathrm{d}S(\boldsymbol{x}) = \int_{S} y \frac{\partial G(\boldsymbol{x}, \boldsymbol{a} | k_f)}{\partial n} \, \mathrm{d}S(\boldsymbol{x}) = 0 \tag{25}$$

From this we can conclude that, unfortunately, the spurious solutions corresponding to m = 1 cannot be removed when applying our modified Green's function in its present form. This is also clear from Fig. 4b, the spurious behavior corresponding to m = 1 is still present. A more elaborate Green's



Figure 8: a) Field plot of the real part of the potential ϕ obtained with Eq. 27 for $kR = 2\pi$. a) with the standard (desingularized) BEM method where spurious results are present and the fictitious solution inside the sphere (indicated by a black circle) can clearly be observed; b) with the modified Green's function, no resonance solution is visible, the solution inside the sphere is very close to zero.

function might still be capable of removing these frequencies as well, but this is beyond the scope of the current article, in which we intend merely to show the proof of concept.

371 5. Discussion

In both the modified Green's function and in the CHIEF method, a point in the interior of the domain is chosen on which an integral equation for $G(\boldsymbol{x}, \boldsymbol{a})$ is developed. The difference between the modified Green's function and CHIEF, however, lies in the fact that CHIEF uses the following equation as an extra condition to the system of equations:

$$c\phi(\boldsymbol{a}) + \int_{S} \phi(\boldsymbol{x}) \frac{\partial G(\boldsymbol{x}, \boldsymbol{a}|k)}{\partial n} \, \mathrm{d}S = \int_{S} \frac{\partial \phi(\boldsymbol{x})}{\partial n} G(\boldsymbol{x}, \boldsymbol{a}|k) \, \mathrm{d}S,$$
 (26)

Here, the constant c = 0, since the point a is situated outside the domain (i.e. inside the object) in the CHIEF method. In the modified Green's function approach this equation is essentially added to the 'normal' Green's function.

A way to check if the solution using our desingularized boundary element code for a general shaped object contains a spurious component due to kbeing close to a fictitious value is to repeat the calculation at a very slightly different k value. If the solution differs significantly, the solution is likely to contain a spurious component.

We further illustrate the concepts with some field values of ϕ obtained by post-processing from the following equation

$$4\pi\phi(\boldsymbol{x}_0) = -\int_S \phi(\boldsymbol{x}) \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_0|k)}{\partial n} \, \mathrm{d}S + \int_S \frac{\partial \phi(\boldsymbol{x})}{\partial n} G(\boldsymbol{x}, \boldsymbol{x}_0|k) \, \mathrm{d}S, \qquad (27)$$

where x_0 is not situated on the boundary S, but either in the solution domain 387 or inside the sphere (outside the solution domain). If no resonance is present, 388 the solution inside the sphere (and hence outside the solution domain) should 389 be $\phi = 0$. In for following examples we use 1442 nodes and 720 quadratic 390 elements in the BEM solution. The first case is the solution for $kR = 2\pi$ 391 where in Fig. 8 we plotted the results obtained from both the standard BEM 392 (with spurious results, Fig. 8a and that obtained using a modified Green's 393 function Fig. 8b, where the solution inside the sphere is zero. 394

A second example shows the solution for the resonance frequency kR = 4.49341 in Fig. 9a. At a frequency nearby at kR = 4.49000 no resonance behavior is observed in Fig. 9b. This once more demonstrates the extreme accuracy of our desingularized BEM framework.

A third example shows the resonance behavior at kR = 5.76345 and a nearby value of kR = 5.76000 in Fig. 10. Again no resonant behavior is observed at the nearby value.

⁴⁰² A final example shows the solution at $kR = 3\pi$ in Fig. 11, obtained from ⁴⁰³ both with the standard method and with the modified Green's function.

At present, the modified Green's function can only remove spurious solutions associated with fictitious frequencies in the "breathing modes" (m = 0), but these are most likely the first modes to appear with increasing k. It would be interesting to find other modified Green's functions to remove spurious solutions associated with fictitious frequencies in all modes, but we have not as yet been able to develop such an approach.



Figure 9: a) Field plot of the potential (real part) for a) the resonance frequency kR = 4.49341 and b) near this frequency at kR = 4.49000; both with the standard (desingularized) BEM. In a) the spurious solution can clearly be seen inside the sphere. No resonance solution is visible in b), the solution inside the sphere is zero. The plots emphasize the superior accuracy of the desingularized BEM: if the frequency is only slightly besides a resonance value, the desingularized BEM still gives the correct result.

410 6. Conclusions

The spurious frequencies occurring in a BEM implementation of the 411 Helmholtz equation were revisited. From a BEM viewpoint it was high-412 lighted how these spurious solutions appear and how they can be detected. 413 It was shown that the use of a modified Green's function can indeed remove 414 certain spurious frequencies. To the best knowledge of the authors, this is 415 the first time actual numerical results have been obtained with a modified 416 Green's function. The results presented are a demonstration of the proof of 417 concept. More elaborate modified Green's functions might be able to remove 418 more spurious frequencies. If indeed so, then this easy to implement method 419 could be a viable alternative to existing methods. 420



Figure 10: a) Potential (real) plot obtained by post processing for a) kR = 5.76345 and b) kR = 5.76000; both with the standard (desingularized) BEM. In a) the spurious solution can clearly be observed inside the sphere. No resonance solution can be observed in b). The plots again emphasize the superior accuracy of the desingularized BEM and also show a graphical means to test if the solution exhibits spurious behavior or not.

Spurious frequencies cannot fully be avoided with the current alternative 421 Green's function approach, but it is sometimes possible to shift this frequency 422 to another region of the spectrum. Thus the spurious frequencies do not nec-423 essarily coincide anymore with a corresponding internal resonance frequency 424 of the scatterer. The superior accuracy of the desingularized boundary el-425 ement method further ensures that the spurious behavior is limited to very 426 narrow bands in the frequency spectrum. The concepts were illustrated with 427 examples of the scattering of a plane wave on a rigid sphere. 428

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Figure 11: a) Plot of the real part of the potential obtained by post processing for a) $kR = 3\pi$ (with the standard, desingularized method) and b) $kR = 3\pi$ with the modified Green's function (desingularized as well). The spurious spherical symmetrical solution inside the sphere in a), which totally overshadows the real solution has successfully been eliminated in b).

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⁴³³ Appendix A. Spurious frequencies for the m=0 case

The normal derivative of the Green's function, $\partial G(\boldsymbol{x}, \boldsymbol{x}_0|k) / \partial n$, can be expressed as

$$\frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_0 | k)}{\partial n} = (\boldsymbol{x} - \boldsymbol{x}_0) \cdot \boldsymbol{n} \frac{e^{ikr}}{r^3} (ikr - 1).$$
(A.1)

Without loss of generality lets assume that the point \boldsymbol{x}_0 is located on the zaxis (see also Fig. A.12 for the definition of symbols), thus $\boldsymbol{x}_0 = [0, 0, R]$, the vectors \boldsymbol{x} and \boldsymbol{n} can then be presented by $\boldsymbol{x} = R[\cos\theta\sin\alpha, \sin\theta\sin\alpha, \cos\alpha]$ and $\boldsymbol{n} = -\boldsymbol{x}/R$. Then $(\boldsymbol{x} - \boldsymbol{x}_0) \cdot \boldsymbol{n} = R(-1 + \cos\alpha)$. The surface element



Figure A.12: Definition of the lengths $r = ||\boldsymbol{x} - \boldsymbol{x}_0||$, ρ , and the angles α and $\alpha/2$ for a sphere with radius R, it can easily be seen that $\sin(\alpha/2) = r/(2R)$ and $R \sin \alpha = \rho = r \cos(\alpha/2)$.

440 $dS = 2\pi R\rho \, d\alpha$ can also be expressed as $dS = 2\pi R^2 \sin \alpha \, d\alpha$:

$$\int_{S} \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0}|k)}{\partial n} \, \mathrm{d}S = \int_{0}^{\pi} R[-1 + \cos\alpha] \frac{e^{ikr}}{r^{3}} [ikr - 1] 2\pi \sin\alpha R^{2} \, \mathrm{d}\alpha. \quad (A.2)$$

With the help of Fig. A.12, the term $(-1 + \cos \alpha)$ can be rewritten as: $(-1 + \cos \alpha) = -2\sin^2(\alpha/2) = -r^2/(2R^2)$. From $r = 2R\sin(\alpha/2)$, one can deduce $R \, d\alpha = dr/\cos(\alpha/2)$. With $\sin \alpha = \cos(\alpha/2)r/R$, the singular term $1/r^3$ in Eq. A.2 will be eliminated and this integral will turn into

$$\int_{S} \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0}|k)}{\partial n} \, \mathrm{d}S = -\frac{\pi}{R} \int_{0}^{2R} e^{ikr} [ikr - 1] \, \mathrm{d}r. \tag{A.3}$$

⁴⁴⁵ which will finally transform Eq. 13 in:

$$2\pi - 2\pi \left\{ e^{i2kR} + \frac{1}{ikR} \left[-e^{i2kR} + 1 \right] \right\} = 0.$$
 (A.4)

446 Multiplying this equation by e^{-ikr} and rearranging leads to

$$\sin(kR)[1 - ikR] = 0.$$
 (A.5)

⁴⁴⁷ Appendix B. Spurious frequencies for the m=1 case

In Section 3.1 and Appendix A, it was shown how the spurious frequencies appear for the simplest case of f = constant, corresponding to the lowest order Legendre polynomials with m = 0. The next least complicated function will be a linear function, corresponding to m = 1. For simplicity sake, lets take f = z as an example. Taking again \boldsymbol{x}_0 on the z-axis will give $f(\boldsymbol{x}_0) = R$ and with $c = 2\pi$, Eq. 4 will turn into:

$$2\pi R + \int_{S} z \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0}|k)}{\partial n} \, \mathrm{d}S = 0.$$
 (B.1)

Eq. A.2 is still valid, except that an extra term $z = R \cos \alpha = R[1-r^2/(2R^2)]$ must be included, thus Eq. A.3 must be replaced by:

$$\int_{S} z \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0}|k)}{\partial n} \, \mathrm{d}S = -\pi \int_{0}^{2R} \left[1 - \frac{r^{2}}{2R^{2}} \right] e^{ikr} [ikr - 1] \, \mathrm{d}r$$

$$= -\pi \int_{0}^{2R} e^{ikr} [ikr - 1] \, \mathrm{d}r + \frac{\pi}{2R^{2}} \int_{0}^{2R} r^{2} e^{ikr} [ikr - 1] \, \mathrm{d}r$$
(B.2)

The first integral in the last expression is the same that appeared in Section Appendix A as Eqs. A.3, A.4 (except for a factor 1/R), the second integral can be evaluated as:

$$\int_{0}^{2R} r^2 e^{ikr} [ikr - 1] \, \mathrm{d}r = 8e^{i2kR} R^3 \left[1 - \frac{2}{ikR} - \frac{2}{k^2 R^2} + \frac{1}{ik^3 R^3} \right] - \frac{8}{ik^3} \quad (B.3)$$

459 Thus Eq. B.2 becomes:

$$\int_{S} z \frac{\partial G(\boldsymbol{x}, \boldsymbol{x}_{0})}{\partial n} \, \mathrm{d}S = -2\pi R \Big\{ e^{i2kR} + \frac{1}{ikR} \big[-e^{i2kR} + 1 \big] \Big\}$$

$$+ 4\pi R e^{i2kR} \Big[1 - \frac{2}{ikR} - \frac{2}{k^{2}R^{2}} + \frac{1}{ik^{3}R^{3}} \Big] - \frac{4\pi R}{ik^{3}R^{3}} = -2\pi R,$$
(B.4)

where Eq. B.1 was used in the last equality. Multiplying by $e^{-ikR}/(2\pi R)$ and regrouping terms with e^{-ikR} and e^{ikR} leads to:

$$e^{-ikR} \left[1 - \frac{1}{ikR} - \frac{2}{ik^3R^3} \right] + e^{ikR} \left[1 - \frac{3}{ikR} - \frac{4}{k^2R^2} + \frac{2}{ik^3R^3} \right] = 0$$
 (B.5)

462 Expanding e^{-ikR} and e^{ikR} into $\cos(kR)$ and $\sin(kR)$ terms gives:

$$\cos(kR)\left[2 - \frac{4}{ikR} - \frac{4}{k^2R^2}\right] - i\sin(kR)\left[\frac{2}{ikR} + \frac{4}{k^2R^2} - \frac{4}{ik^3R^3}\right]$$
(B.6)

⁴⁶³ Separating this into real and imaginary parts:

Real part:
$$\cos(kR)\left[2 - \frac{4}{k^2R^2}\right] = \sin(kR)\left[\frac{4}{kR} - \frac{4}{k^3R^3}\right]$$
 (B.7)
Imaginary part: $\cos(kR)\frac{4}{kR} = \sin(kR)\frac{4}{k^2R^2}$

⁴⁶⁴ Both the real and imaginary part lead to the following condition:

$$\tan(kR) = kR \tag{B.8}$$

which is the same as the internal resonance condition for m = 1, with solution kR = 4.49341 etc. (see Table 1).

⁴⁶⁷ Appendix C. The m=0 case with a modified Green's function

⁴⁶⁸ The normal derivative of the additional part is:

$$\frac{\partial G(\boldsymbol{x}, \boldsymbol{a}|k)}{\partial n} = \boldsymbol{n} \cdot [\boldsymbol{x} - \boldsymbol{a}] \frac{e^{ikr'}}{r'^3} [ikr' - 1].$$
(C.1)

As in Section 3 assume that f = const (corresponding to m = 0) and again assume that the point x_0 is located on the z-axis, the vectors x and n and dS are defined the same as in Section 3, while

472 $\boldsymbol{x}-\boldsymbol{a} = [R\cos\theta\sin\alpha, R\sin\theta\sin\alpha, R\cos\alpha-a]$. Thus $\boldsymbol{n}\cdot[\boldsymbol{x}-\boldsymbol{a}] = -R+a\cos\alpha$. 473 For the length r' the following relationship can be found:

$$r'^{2} = R^{2} \sin^{2} \alpha + (R \cos \alpha - a)^{2} = R^{2} - 2aR \cos \alpha + a^{2}, \qquad (C.2)$$

474 while for dr' one finds:

$$r' \,\mathrm{d}r' = aR\sin\alpha \,\mathrm{d}\alpha \tag{C.3}$$

⁴⁷⁵ Thus, similar to Eq. A.2:

$$\int_{S} \frac{\partial G(\boldsymbol{x}, \boldsymbol{a}|k)}{\partial n} \, \mathrm{d}S = \int_{R-a}^{R+a} \boldsymbol{n} \cdot [\boldsymbol{x} - \boldsymbol{a}] \frac{e^{ikr'}}{r'^{3}} [ikr' - 1] 2\pi r' \frac{R}{a} \, \mathrm{d}r'. \qquad (C.4)$$

476 Substituting $\boldsymbol{n} \cdot [\boldsymbol{x} - \boldsymbol{a}] = -R + a \cos \alpha$ and eliminating $\cos \alpha$ with Eq. C.2:

$$\int_{S} \frac{\partial G(\boldsymbol{x}, \boldsymbol{a}|k)}{\partial n} \, \mathrm{d}S = \frac{2\pi R}{a} \int_{R-a}^{R+a} \left[-R + \frac{R^2 + a^2 - r'^2}{2R} \right] \frac{e^{ikr'}}{r'^2} [ikr' - 1] \, \mathrm{d}r' \\ = \frac{2\pi R}{a} \left[1 - \frac{1}{ikR} \right] e^{ikR} [e^{ika} - e^{-ika}].$$
(C.5)

The last equality can be obtained easiest by splitting the integral in two parts and using $\partial e^{ikr'}/\partial r' = e^{ikr'}[ikr'-1]/r'^2$. Eq. C.5 can be simplified to:

$$\int_{S} \frac{\partial G(\boldsymbol{x}, \boldsymbol{a}|k)}{\partial n} \, \mathrm{d}S = 2\pi R \Big[1 - \frac{1}{ikR} \Big] e^{ikR} 2i \frac{\sin(ka)}{a}. \tag{C.6}$$

479 Eq. A.4 will now have an additional part as:

$$2\pi - 2\pi \left\{ e^{i2kR} + \frac{1}{ikR} \left[-e^{i2kR} + 1 \right] \right\}$$

$$c_2 2\pi R \left[1 + \frac{1}{ikR} \right] e^{ikR} 2i \frac{\sin(ka)}{a} = 0.$$
(C.7)

480 Multiplying by $e^{-ikR}/(4\pi i)$ gives:

$$\sin(kR)\left[1 + \frac{1}{ikR}\right] + c_2 R\left[1 + \frac{1}{ikR}\right]\frac{\sin(ka)}{a} = 0.$$
 (C.8)

Since the common term [1 + 1/ikR] can never become zero (k is a real number), this finally simplifies to:

$$\sin(kR) + c_2(R/a)\sin(ka) = 0.$$
 (C.9)

⁴⁸³ If this equation is satisfied for a certain wave number, k, then $k_f = k$.

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