

Last time:

## Construction of $\mathbb{F}_{p^k}$

Fermat's little theorem: Let  $m \in \mathbb{Z} \setminus p\mathbb{Z}$  be an integer not divisible by a given prime  $p$ . Then  $m^{p-1} \equiv 1 \pmod{p}$ .

In the language of our class: The Frobenius automorphism  $F: \mathbb{F}_p \rightarrow \mathbb{F}_p$  is equal to the identity map.

What about the Frobenius automorphisms

$$F: \mathbb{F}_{p^k} \rightarrow \mathbb{F}_{p^k}$$

for  $1 < k < \infty$ ?

Assume we are given a field  $K$  with  $p^k$  elements. Then the multiplicative group  $K^\times$  has order  $p^k - 1$  and hence

$$a \in K^\times \Rightarrow a^{p^k-1} = 1$$

$$\text{or } a \in K \Rightarrow a^{p^k} = a.$$

Corollary: If  $K$  is a field with  $p^k$  elements, then  $K$  is a splitting field of the polynomial  $X^{p^k} - X$  over  $\mathbb{F}_p$ .

Proof: Since each of the  $p^k$  elements of  $K$  is a root of  $X^{p^k} - X$ , we have the equality in  $K[X]$

$$X^{p^k} - X = \prod_{a \in K} (X - a).$$

Further,  $K$  is obviously generated by all of its elements (over  $\mathbb{F}_p$ ), so  $K = K(a \mid a \text{ is a root of } X^{p^k} - X)$ .

□

It turns out that the converse is also true.

For this we need two lemmas.

Lemma 1: Let  $K$  be a field,  $p(x) \in K[x]$  a polynomial over  $K$ . If  $a \in K$  is a root of  $p$  with multiplicity greater or equal to 2, then  $a$  is also a root of the derivative

$$p'(x) = n a_n x^{n-1} + \dots + 2 a_2 x + a_1$$

(where  $p(x) = a_n x^n + \dots + a_1 x + a_0$ ).

Proof: Exercise.

Lemma 2: Let  $K$  be a field and let  $f: K \rightarrow K$  be a field automorphism of  $K$ . Then the elements of  $K$  fixed by  $f$  form a subfield

$$K^f = \{a \in K \mid f(a) = a\}.$$

Proof: Exercise.

Corollary: Let  $K$  be a field of characteristic  $p$ , let  $k \geq 1$ . Then the roots of the polynomial  $X^{p^k} - X$  form a subfield of  $K$ .

Proof: These roots are exactly the elements fixed by the  $p^k$  power of the Frobenius automorphism

$$F^k = \underbrace{F \circ \dots \circ F}_{k \text{ times}} : K \longrightarrow K.$$

Theorem: Let  $K$  be a splitting field of the polynomial

$$X^{p^k} - X \text{ over } \mathbb{F}_p. \text{ Then } |K| = p^k.$$

Proof: The derivative of  $X^{p^k} - X$  is equal to  $-1$ .

So,  $X^{p^k} - X$  has  $p^k$  distinct roots in  $K$ .

Further,  $a \in K$  is a root of  $X^{p^k} - X$  if and only if  $a$  is fixed by the  $k$ th power of the Frobenius automorphism

$$F^k = \underbrace{F \circ \dots \circ F}_{k \text{ times}} : K \rightarrow K.$$

So,  $K^{F^k} \subset K$  is a subfield consisting of all the roots in  $K$ . Since we assumed  $K$  to be a splitting field, we assumed  $K$  to be generated (over  $\mathbb{F}_p$ ) by the roots of  $X^{p^k} - X$ . Hence  $K^{F^k} = K$ .  $\square$

Theorem: Let  $k$  be a field,  $p(x) \in k[x]$  a polynomial over  $k$ . Then there exists a splitting field  $E$  of  $p(x)$  over  $k$  whose degree over  $k$  is at most  $n!$ , where  $n = \deg(p)$ .

Proof: Strong induction:  $n=1$ , take  $E=k$ . Assume the statement holds for polynomials of degree  $\leq n$ .

Case 1: Irreducible Statement ( $p(x)$ )

Let  $q(x)$  be an irreducible factor of  $p(x)$ .

Set  $K := k[x]/(q(x))$ , and let  $a$  be the coset of  $x$ . Then  $a$  is a root of  $p$ .

$$p(x) = (x-a)^q p(x) \text{ over } K.$$

Apply the induction hypothesis with  $K$  in the role of  $k$  and  $p(x)$  in the role of  $P$ .

This gives a splitting field  $E$  of  $q$  over  $K$ .

So

$$k \subset K \subset E$$

$\alpha \leftarrow \uparrow$  splitting field of  $q$ .

We claim that  $E$  is also a splitting field of  $p$  over  $k$ . Indeed,  $q$  has ~~factors~~ factors into linear factors

$$q(x) = \prod_{\substack{\alpha \text{ root of } q \\ \text{in } E}} (x-\alpha)^{n(\alpha)}$$

over  $E$ . We need to show that the roots of  $p$  generate  $E$  as field extension of  $k$ . Let  $k \subseteq K' \subseteq E$  be an intermediate extension containing all roots of  $p$ . Then  $K'$  contains a and hence

$$k \subseteq K = k[a] \subseteq K'.$$

Since  $K'$  contains all the roots of  $q(x)$  and  $K$  and  $E$  is a splitting field of  $q$  over  $K$ , it follows that  $K' = E$ .  $\square$

## Reminder

Def of splitting field of  
 $p(x) \in k[x]$

$k \subset E$  field extension s.d.

$$\bullet \quad p(x) = \prod (x-\alpha)^{u(\alpha)} \quad \text{over } E$$

$\bullet \quad E$  is generated by the roots of  $P$   
(as extension of  $k$ ) .