

## Splitting Fields

Start by waking up the audience:

- Q: • What is  $\mathbb{K}[x]/(p(x))$  ?
- When is this thing a field ?
  - In which examples have you encountered this construction ?

In full generality,  $\mathbb{K}$  is a ring,  $\mathbb{K}[x]$  is the polynomial algebra in one variable over  $\mathbb{K}$ , and  $(p(x))$  is a principal ideal in  $\mathbb{K}[x]$ , so  $\mathbb{K}[x]/(p(x))$  is its quotient ring. We have typically given the coset of  $x$  in the quotient a new name, say  $\alpha$ , so that in the quotient we have imposed the identity  $p(\alpha) = 0$ .

The quotient ring is a field if  $\mathbb{K}$  is a field and  $p(x)$  is an irreducible polynomial over  $\mathbb{K}$ . We have seen two types of example: (1) describing intermediate field extensions generated by an algebraic element, such as

$$\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}) \subset \mathbb{R}$$

$\sqrt{2}$  is algebraic over  $\mathbb{Q}$  with irreducible polynomial  $x^2 - 2$ .

So, we have an isomorphism of field extensions

$$\begin{array}{ccc} \mathbb{Q} & & \\ \swarrow & \searrow & \\ \mathbb{Q}[x]/(x^2-2) & \xrightarrow{\cong} & \mathbb{Q}(\sqrt{2}) \subset \mathbb{R}; \end{array}$$

(2) building new (and bigger) fields from old ones, such as

$$\mathbb{C} = \mathbb{R}[x]/(x^2+1)$$

$$\mathbb{F}_4 = \mathbb{F}_2[x]/(x^2+x+1)$$

$$\mathbb{F}_8 = \mathbb{F}_2[x]/(x^3+x+1) \quad \text{or} \quad \mathbb{F}_2[x]/(x^3+x^2+1) -$$

$$\mathbb{F}_9 = \mathbb{F}_3[x]/(x^2+1)$$

and so on.

### Key observation

To make this work, we needed to start with a polynomial  $p(x)$  with coefficients in  $\mathbb{k}$ , and  $p(x)$  had to be irreducible. If, however, we view  $p(x)$  as a polynomial with coefficients in the bigger field  $K = \mathbb{k}[x]/p(x)$ , then we have, by construction, at least one root of  $p(x)$  in  $K$ , namely the coset of  $x$ , i.e.,  $\alpha = x + (p(x)) \in K$ .

This allows us to split off a linear factor of the (no longer irreducible) polynomial  $p(x)$  over  $K$ :

$$p(x) = (x - \alpha) \cdot q(x)$$

inside  $K[x]$ .

Examples:

$$x^2 + 1 = (x + i) \cdot (x - i) \quad \text{over } \mathbb{C}, \mathbb{F}_q$$

$$x^3 + x + 1 = (x + b) \cdot (x + b^2) \cdot (x + b^2 + b) \quad \text{over } \mathbb{F}_8$$

$$x^2 + x + 1 = (x + a) \cdot (x + a + 1) \quad \text{over } \mathbb{F}_4$$

Comment on how we got there: we know from the tutorials that  $b$  ( $=$  root of  $x$  in  $\mathbb{F}_8 = \mathbb{F}_2[x]/(x^3 + x + 1)$ ) and  $b^2$  are roots of  $x^3 + x + 1$ . The third root is obtained by polynomial division (over  $\mathbb{F}_8$ ).  
 ↪ this week's tutorial.

For  $\mathbb{F}_4$ , it is easier: the polynomial has at least one root over  $\mathbb{F}_4$ ,  $a$ , and hence both roots ( $\deg = 2$ ).  $\mathbb{F}_4 \setminus \mathbb{F}_2$  has only two elements,  $a$  and  $a+1$ . Either  $a$  is a double root (it isn't) or it is as claimed.

Similarly,  $x^2 - 2 = (x + \sqrt{2})(x - \sqrt{2})$  over  $\mathbb{Q}(\sqrt{2})$ .

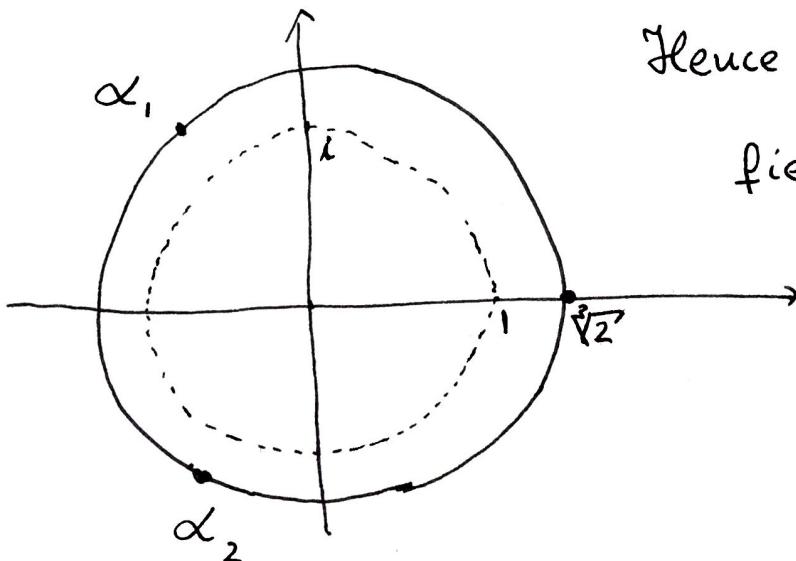
Indeed, for degree reasons, the polynomial has to split into linear factors over  $\mathbb{Q}(\sqrt{2})$ , and the second root is easy to find.

Example: Consider the polynomial

$$p(x) = x^3 - 2 \quad \text{over } \mathbb{Q}.$$

This is irreducible over  $\mathbb{Q}$ , has one root ~~in~~  $\mathbb{R}$ , which we will denote  $\sqrt[3]{2} \in \mathbb{R}$  and two additional complex roots,

$$\alpha_{1,2} := \sqrt[3]{2} \cdot e^{\pm \frac{2\pi i}{3}} = \frac{\sqrt[3]{2}}{2} (-1 \pm i\sqrt{3}).$$



Hence, we have

field isomorphisms

$$\begin{matrix} \mathbb{C} \\ \cup \\ \end{matrix}$$

$$\alpha_1 : \mathbb{Q}(\alpha_1)$$

$$\begin{matrix} \uparrow \\ \mathbb{H} \\ \times \\ \end{matrix}$$

$$\mathbb{Q}[x]/(x^3 - 2) \cong \mathbb{Q}(\sqrt[3]{2})$$

$$\begin{array}{ccc} \alpha_2 & \xrightarrow{x \mapsto \sqrt[3]{2}} & \mathbb{R} \\ \downarrow & \cong & \downarrow \\ \mathbb{C} & & \mathbb{C} \end{array}$$

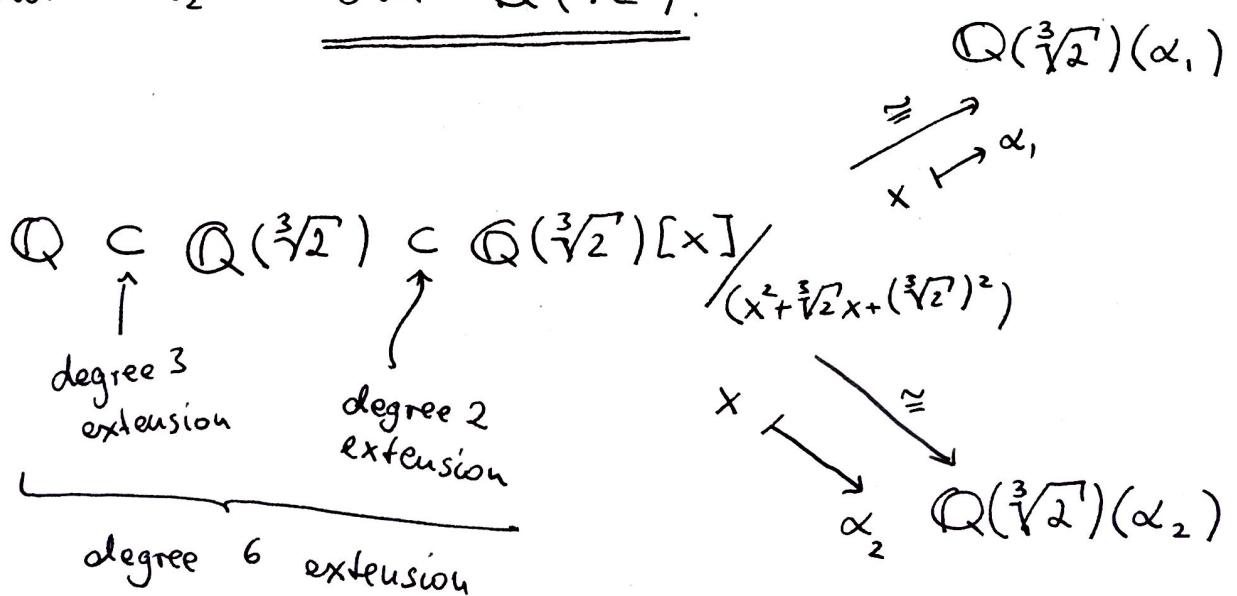
P is the irreducible polynomial of each of the three roots.

Over  $\mathbb{R}$  (and hence over  $\mathbb{Q}(\sqrt[3]{2})$ ) the factorization of  $x^3 - 2$  into irreducible polynomials takes the form

$$x^3 - 2 = (x - \sqrt[3]{2}) \cdot \underbrace{(x^2 + \sqrt[3]{2}x + (\sqrt[3]{2})^2)}_{\text{irreducible}}$$

over  
 $\mathbb{Q}[x]/(x^3 - 2) \cong \mathbb{Q}(\sqrt[3]{2})$ .

Our polynomial does not split into linear factors over  $\mathbb{Q}[x]/(x^3 - 2)$ , but its irreducible components now have lower degree, so we can iterate.  $x^2 + \sqrt[3]{2}x + (\sqrt[3]{2})^2$  is the irreducible polynomial of each of the roots  $\alpha_1$  and  $\alpha_2$  over  $\mathbb{Q}(\sqrt[3]{2})$ .



But  $\alpha_1 + \alpha_2 = -\sqrt[3]{2}$ , so the intermediate field extensions of  $\mathbb{Q}(\sqrt[3]{2}) \subset \mathbb{C}$  generated by  $\alpha_1$  and  $\alpha_2$  respectively are equal to each other

$$\mathbb{Q}(\sqrt[3]{2}) \subset \mathbb{Q}(\sqrt[3]{2})(\alpha_1) = \mathbb{Q}(\sqrt[3]{2})(\alpha_2) \subseteq \mathbb{C}.$$

- Exercise: (1) Show that  $\mathbb{Q}(\sqrt[3]{2})(\alpha_1) = \mathbb{Q}(\sqrt[3]{2}, \alpha_1)$   
 $= \mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) \subset \mathbb{C}$  is the smallest intermediate extension of  $\mathbb{Q} \subset \mathbb{C}$  containing the two algebraic elements  $\sqrt[3]{2}$  and  $i\sqrt{3}$ .
- (2) How many automorphisms of the field  $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$  can you construct?