

Theorem (Smith Normal Form)

(Alg)

Let R be a PID, $A \in M_{m \times n}(R)$.

Then A is equivalent to a "diagonal" $m \times n$ matrix whose entries satisfy

$$d_1 \mid d_2 \mid \dots \mid d_{\min(m,n)}.$$

(This diagonal matrix is called the Smith normal form or invariant factor matrix

of A .)

Proof:

Claim: A is equivalent to a matrix of the form

$$\begin{array}{c|c} d_1 & \text{---} \\ \hline 0 & B \end{array}$$

where

- $B \in M_{(m-1) \times (n-1)}(R)$
- $d_1 \mid b_{ij} \forall i, j.$
- d_1 & all b_{ij} are R -linear combinations of a_{ij} 's.

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We then iterate this until we reach

$$C = [c_1 \dots c_r]$$

at which point we show this to be equivalent to $[d \quad \bigcirc]$ with d an R -linear combination of the c_i 's.

lemma

Proof of Lemma 1: Suppose C has at least two non-zero entries, c_1, c_2 . Since R is a PID, by Bézout's lemma there exist $x, y \in R$ such that

~~$$d = \gcd(c_1, c_2) = xa + yb.$$~~

$$d = \gcd(c_1, c_2) = xc_1 + yc_2.$$

Write $c_1 = dc'_1$, $c_2 = dc'_2$, then

$$d = d(xc'_1 + yc'_2) \Rightarrow xc'_1 + yc'_2 = 1.$$

$$[c_1 \ c_2 \ \dots \ c_r] \begin{bmatrix} x & -c'_2 & & \bigcirc \\ y & c'_1 & & \\ \hline 0 & & I_{r-2} & \end{bmatrix} = \begin{bmatrix} d & 0 & c_3 & \dots & c_r \\ \hline & & & & \end{bmatrix}$$

invertible since $\det = 1$.

Note: $d = \gcd(c_1, \dots, c_r)$.

So $\lambda(d) \leq \lambda(c_i) \forall i$.

Back to the proof of the Theorem:

Define $\lambda : R \setminus \{0\} \rightarrow \mathbb{Z}_{\geq 0}$ by

$$\lambda(r) = \begin{cases} 0 & \text{if } r \text{ is a unit} \\ k & \text{if } r \text{ is not a unit and } r = p_1 \cdots p_k \\ & \text{with } p_i \text{ irred.} \end{cases}$$

If x divides y but is not associate to y , then $\lambda(x) < \lambda(y)$.

Also $\lambda(xy) = \lambda(x) + \lambda(y)$.

Start with a matrix A . If all zero, done.

Otherwise make a_{11} non-zero. Apply lemma 1 to the first row, then the first column of A to get an equivalent matrix

$$\begin{bmatrix} d & \text{---} \\ 0 & B \end{bmatrix}$$

To ensure that d divides the entries of B :

~~Assume~~ Suppose not. Arrange that $d \nmid b_{11}$.

Add the first row of B to the first row of A :

$$\begin{bmatrix} d & b_{11} & b_{12} & \cdots & b_{1r} \\ 0 & b_{11} & b_{12} & \cdots & b_{1r} \end{bmatrix} \quad \begin{matrix} d' & 0 & b_{12} & \cdots & b_{1r} \\ yb_{11} & c'b_{11} & b_{12} & \cdots & b_{1r} \end{matrix}$$

Applying lemma 1, we get

$$\begin{bmatrix} d' & \text{---} \\ 0 & B' \end{bmatrix}$$

with $\lambda(d') < \lambda(d)$.

So this must terminate. \square

Theorem (Structure of finitely generated modules over a PID) (Alg)

Let M be a finitely generated module over a PID R . Then there exist $d_1, \dots, d_k \in R$ such that

$$d_1 \mid d_2 \mid \dots \mid d_k$$

and

$$M \cong R/(d_1) \oplus R/(d_2) \oplus \dots \oplus R/(d_k).$$

Proof:

M fin. gen. so have surjective hom.

$$\varphi: R^k \rightarrow M.$$

Then $N = \ker(\varphi)$ is free of rank $s \leq k$.

Choose bases for N and R^k , then

$$N \hookrightarrow R^k$$

is represented by a matrix $A \in M_{k \times s}(R)$.

But then A is equivalent to its Smith

normal form

$$\left[\begin{array}{cc} d_1 & 0 \\ 0 & \ddots \\ 0 & d_s \end{array} \right] \quad d_1 \mid \dots \mid d_s$$

} $k-s$ zero rows.

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So there's a basis $\{f_1, \dots, f_k\}$ of R^k
such that $\{d_1 f_1, \dots, d_s f_s\}$ is a basis of $N \subseteq R^k$.
If $s < k$ let $d_{s+1} = \dots = d_k = 0$.

Define $\psi: R^k \rightarrow R/(d_1) \oplus \dots \oplus R/(d_k)$

$$\sum r_i f_i \mapsto (r_1 + (d_1), \dots, r_k + (d_k))$$

Then ψ is surjective with kernel N , done.

□