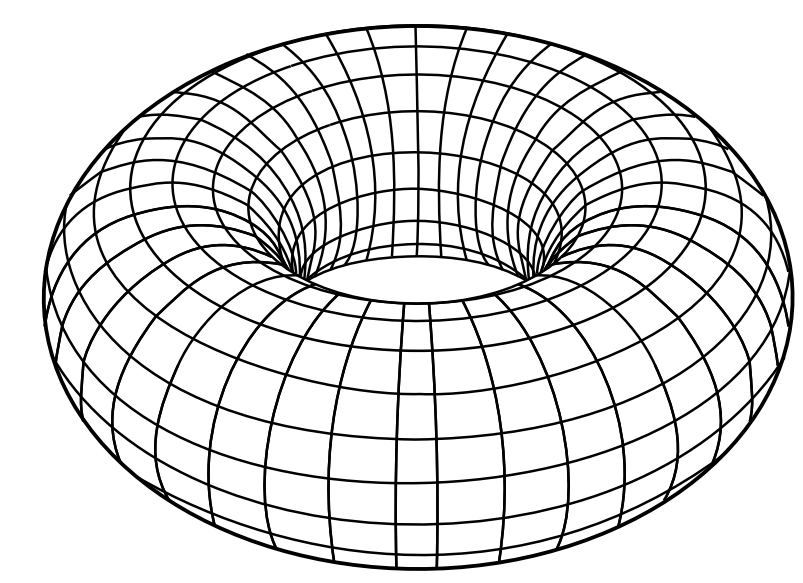


Topological Invariants in Quantum Systems

Brae Vaughan-Hankinson, supervised by Dr. Thomas Quella



INTRODUCTION

The physics of a quantum system generally depends on parameters which govern the microscopic interactions. The variation of these parameters may cause the system to exhibit qualitatively distinct behaviours—**phases of matter**. The mathematical language of **topology** can be used to distinguish some phases with a discrete **invariant**.

Such topological phases are appealing due to their **inherent immunity** to quantum errors caused by small fluctuations. By exploiting this immunity, **quantum computing** may be more achievable.

THE BERRY PHASE

A quantum state $|\psi\rangle$ is an equivalence class of rays in a complex Hilbert space \mathcal{H} . Insisting on normalised states still leaves us with

$$\eta|\psi\rangle \sim |\psi\rangle, \quad \eta \in U(1).$$

Let the Hamiltonian H of the system depend on parameters $\mathbf{R} = (R_1, R_2, \dots)$. Consider the evolution over a **closed path** C parameterised by $\mathbf{R}(t)$. If the evolution is assumed **adiabatic**, then there exists a complete ordered orthonormal set of instantaneous eigenstates $|n(\mathbf{R}(t))\rangle$. Over time t , $|n(\mathbf{R}(0))\rangle$ evolves into

$$|\psi(t)\rangle = \exp\left[\frac{1}{i\hbar}\int_0^t E_n(\mathbf{R}(\tau)) d\tau\right] \exp(i\gamma_n(t)) |n(\mathbf{R}(t))\rangle. \quad (1)$$

The left-hand exponential is the internal chronometer of the system. Due to the Schrödinger equation, the other exponent satisfies

$$\dot{\gamma}_n = i \langle n(\mathbf{R}(t)) | \nabla_{\mathbf{R}} | n(\mathbf{R}(t)) \rangle \cdot \dot{\mathbf{R}}.$$

The total contribution from this term over the loop C is the **Berry phase**

$$\gamma_n = i \oint_C \langle n(\mathbf{R}) | \nabla_{\mathbf{R}} | n(\mathbf{R}) \rangle \cdot d\mathbf{R}. \quad (2)$$

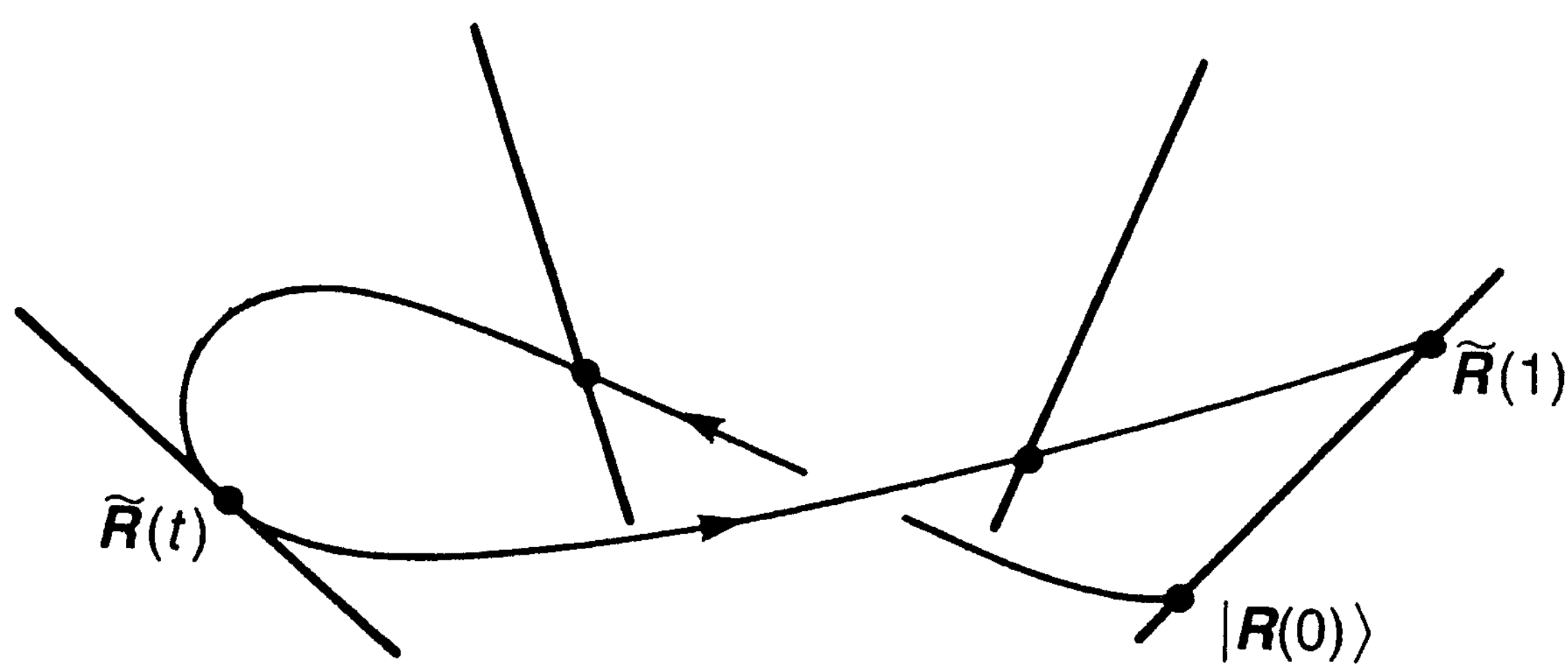


Figure 1: An illustration of parallel transport.[4]

By defining the **Berry connection** 1-form

$$\mathcal{A}_n = i \langle n(\mathbf{R}) | d | n(\mathbf{R}) \rangle, \quad (3)$$

we see that the Berry phase takes the form of a **holonomy**. Under a different choice of basis states, $|n\rangle \mapsto \exp(i\mu(\mathbf{R})) |n\rangle$, we observe $\mathcal{A}_n \mapsto \mathcal{A}_n + id\mu$. This dependence means that \mathcal{A}_n is not physically observable, since it depends on our “gauge” we choose to measure with. However, its corresponding **curvature form** $\mathcal{F}_n = d\mathcal{A}_n$ is **gauge-invariant**. Stokes’ theorem allows us to write the Berry phase as

$$\gamma_n = \int_{\Sigma} \mathcal{F}_n, \quad (4)$$

where $C = \partial\Sigma$. Thus the Berry phase is gauge-invariant, and intrinsic to the topology of parameter space.

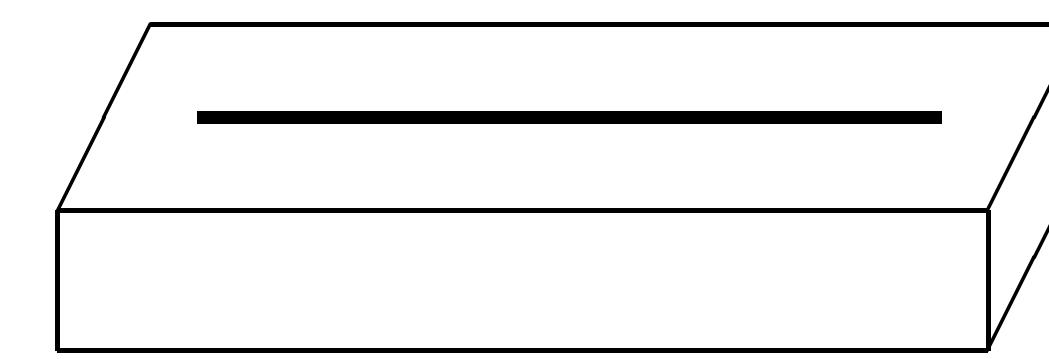


Figure 2: The Kitaev chain setup.

THE KITAEV CHAIN

Consider a 1D lattice of L fermion sites over a superconducting substrate. The Hamiltonian for this system is given by

$$H = \sum_{j=1}^{L-1} (\Delta c_j c_{j+1} - t c_{j+1}^\dagger c_j + h.c.) - \sum_{j=1}^L \mu \left(c_j^\dagger c_j - \frac{1}{2} \right). \quad (5)$$

We split each fermionic mode into two **Majorana modes**:

$$\vartheta_{2j-1} = c_j + c_j^\dagger; \quad \vartheta_{2j} = -i(c_j - c_j^\dagger). \quad (6)$$

They satisfy the relations

$$\vartheta_\ell^\dagger = \vartheta_\ell, \quad \{\vartheta_\ell, \vartheta_k\} = 2\delta_{\ell k},$$

and thus generate a Clifford algebra. The Hamiltonian in this basis is

$$H = \frac{i}{2} \sum_j (t + \Delta) \vartheta_{2j} \vartheta_{2j+1} + (\Delta - t) \vartheta_{2j-1} \vartheta_{2j+2} - \mu \vartheta_{2j-1} \vartheta_{2j}. \quad (7)$$

Consider two special cases: **a)** $\mu < 0, \Delta = t = 0$; **b)** $\mu = 0, \Delta = t > 0$. The respective Hamiltonians are:

$$\text{a) } H = -\frac{i\mu}{2} \sum_j \vartheta_{2j-1} \vartheta_{2j}; \quad \text{b) } H = it \sum_j \vartheta_{2j} \vartheta_{2j+1}. \quad (8)$$

We observe two types of pairings of the ϑ , depicted below:

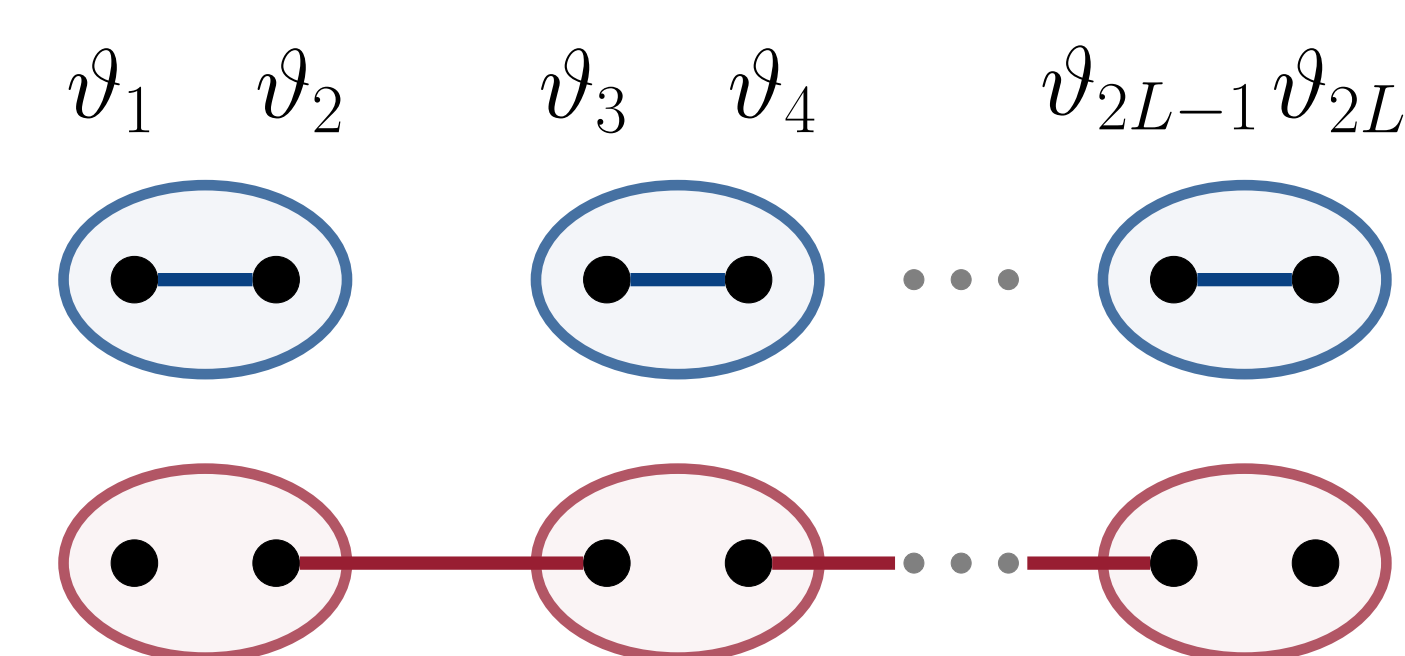


Figure 3: Large ellipses are physical lattice sites. Horizontal lines are fermionic modes.

Case **b)** reveals two Majorana **edge zero modes**, $\vartheta_1, \vartheta_{2L}$. They combine to a non-local zero energy fermionic mode. Even **beyond the special case**, we can still construct a fermionic zero mode Ψ which:

- commutes with the Hamiltonian: $[H, \Psi] = 0$.
- anticommutes with the fermionic parity operator: $\{(-1)^F, \Psi\} = 0$.
- remains “normalised” even as $L \rightarrow \infty$: $\Psi^\dagger \Psi = 1$.

In fact, the system features two phases: the **trivial phase** in the region $2|t| < |\mu|$; and the **topological phase** for $|\mu| < 2|t|$. The topological phase exhibits edge zero modes, which are absent in the trivial phase.

The existence of these edge zero modes is a \mathbb{Z}_2 **topological invariant** of the system.

ACKNOWLEDGEMENTS

I would like to extend my gratitude to Thomas Quella for his enlightening explanations and his many words of encouragement.

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